

CITY OF SAN ANTONIO
INTERDEPARTMENTAL MEMORANDUM
PUBLIC WORKS DEPARTMENT

AGENDA ITEM NO. **23(c)**

TO: Mayor and City Council

FROM: Thomas G. Wendorf, P.E., Director of Public Works

THROUGH: Terry M. Brechtel, City Manager

COPIES: Melissa Byrne Vossmer; Andrew Martin; Peter Zanoni; Milo D. Nitschke; file

SUBJECT: Alamo Farmsteads Drainage Phase I on Whitby from Abe Lincoln to Leon Creek

DATE: April 8, 2004

SUMMARY AND RECOMMENDATIONS

This ordinance accepts the proposal and awards a professional service contract in the amount of \$282,510 payable to Freese & Nichols, Inc., a non-MBE, for engineering services and authorizes \$28,251 for design contingency expenses, for an overall total amount of \$310,761 in connection with the Alamo Farmsteads Drainage Phase I on Whitby from Abe Lincoln to Leon Creek project, an authorized 2003-2007 General Obligation Drainage Improvement Bond funded project located in City Council District 7.

Staff recommends approval of this ordinance.

BACKGROUND INFORMATION

This ordinance authorizes compensation to Freese & Nichols, Inc. for engineering services to include design, plan preparation and bid documents, construction phase services and additional services to include Texas Department of Licensing and Regulation (TDLR) review and inspection, Traffic Control Plan (TCP), tree surveying/protection/mitigation permit, test borings/foundation studies, Storm Water Pollution Prevention Plan (SW3P), U.S. Army Corps of Engineers (USACE) 404 Permit, and a Master Drainage Study of Alamo Farmstead as shown in the Professional Services Agreement included herein as Attachment 2. The project is anticipated to be advertised for construction in October of 2005 and to be completed by July of 2007.

This project provides for construction of Phase I which consists of the reconstruction of Whitby Road from Abe Lincoln to Rochelle with a drainage outfall to Leon Creek. This project will also provide for the development of a Master Drainage Study for the Alamo Farmstead Area bound by Abe Lincoln, Whitby, Pembroke and Rochelle streets that will identify existing drainage problems with proposed solutions and a manageable construction phasing plan for the entire watershed.

POLICY ANALYSIS

Approval of this ordinance will be a continuation of City Council policy to complete previously approved 2003-2007 General Obligation Drainage Improvement Bond projects.

FISCAL IMPACT

This project was approved as a result of the November 4, 2003 bond election. Although this project was not included in the FY 04-09 Capital Improvement Program Budget, approval of this ordinance will appropriately modify the capital budget. Funds in the amount of \$310,761.00 are available from 2003-2007 General Obligation Drainage Improvement Bonds, and are authorized payable as follows:

\$282,510.00	payable to Freese & Nichols, Inc. for engineering services
\$ 28,251.00	payable for design contingency expenses

COORDINATION

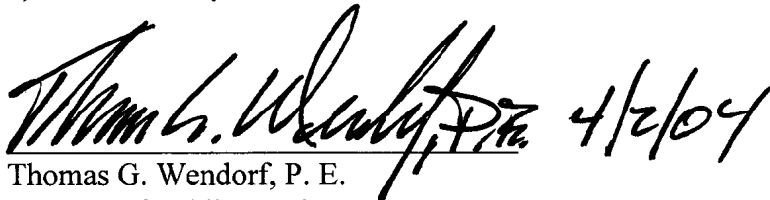
This request for ordinance has been coordinated with the Finance Department and the Office of Management and Budget.

SUPPLEMENTARY COMMENTS

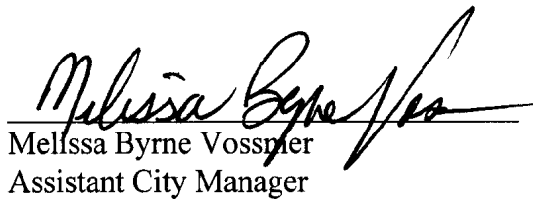
The Discretionary Contracts Disclosure Form required by the Ethics Ordinance is attached.

ATTACHMENTS

- 1) Project Map
- 2) Professional Services Agreement
- 3) Discretionary Contracts Disclosure Form


 4/2/04

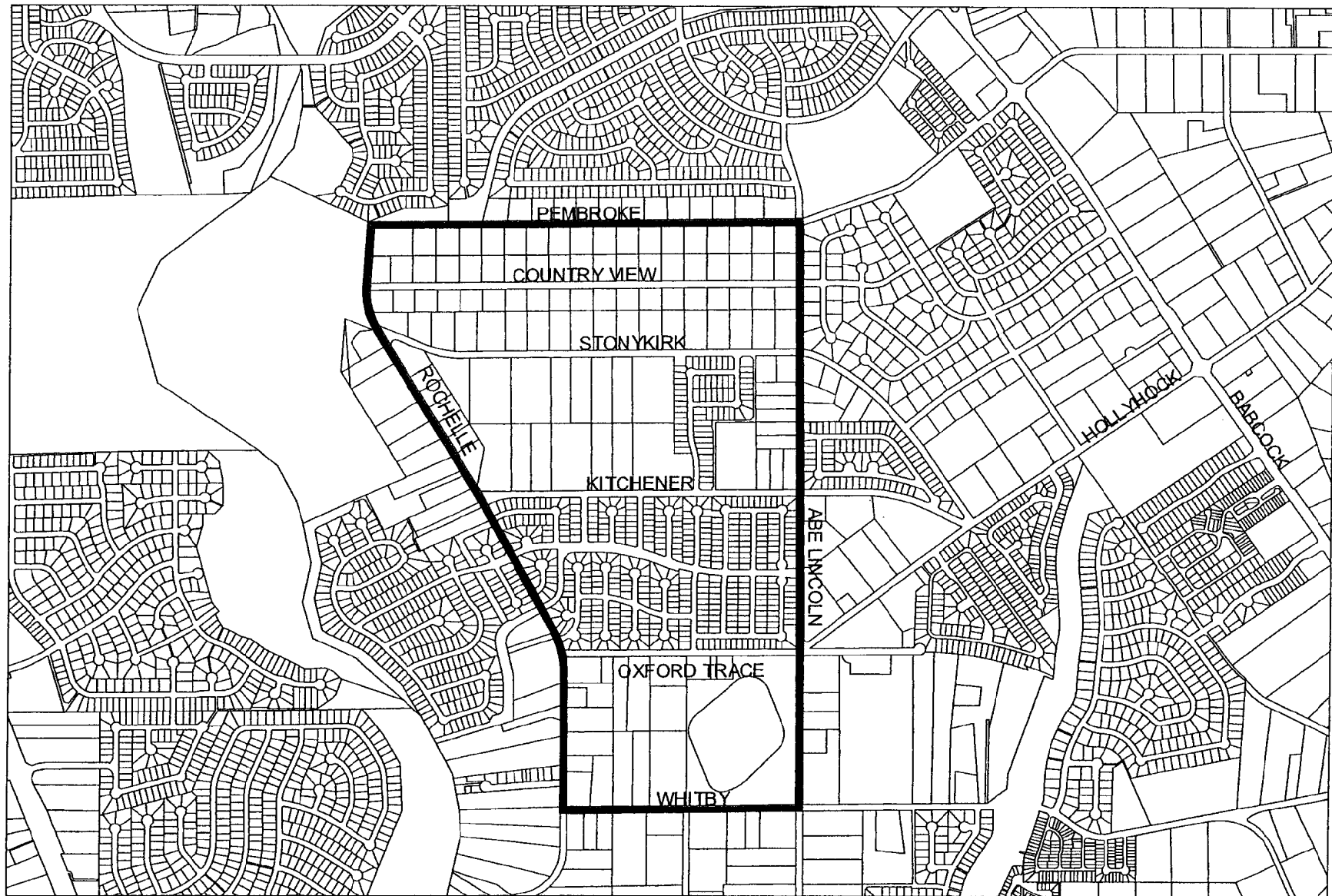
Thomas G. Wendorf, P. E.
Director of Public Works



Melissa Byrne Vossler
Assistant City Manager

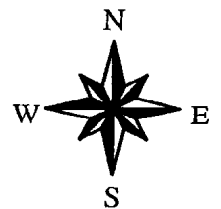
Approved:


Terry M. Brechtel
City Manager



CITY OF SAN ANTONIO
Department of Public Works
Capital Programs Division

ALAMO FARMSTEAD DRAINAGE PHASE I



**PROFESSIONAL SERVICES AGREEMENT
FOR
ENGINEERING SERVICES**

STATE OF TEXAS

COPY

COUNTY OF BEXAR

AGREEMENT FOR

**ALAMO FARMSTEADS DRAINAGE PHASE I ON WHITBY FROM ABE LINCOLN
TO LEON CREEK**

This **AGREEMENT** is made and entered into by and between the City of San Antonio (hereinafter referred to as "**CITY**"), a Texas Municipal Corporation acting by and through its City Manager, pursuant to Ordinance No. _____, passed and approved on the _____ day of _____, 2004 and Freese & Nichols, Inc. (hereinafter referred to as "**CONSULTANT**"), both of which may be referred to herein collectively as the "**PARTIES**".

IN CONSIDERATION of the mutual covenants, terms, conditions, privileges and obligations herein contained, **CITY** and **CONSULTANT** do hereby agree as follows:

I. DEFINITIONS

As used in this **AGREEMENT**, the following terms shall have meanings as set out below:

1.1 "Director" means the director of **CITY**'s Public Works Department, or the designated project manager identified by the Notice to Proceed.

1.2 "Project" means the capital improvement/construction development undertaking of **CITY** for which **CONSULTANT**'s design services, as stated in the Scope of Services, are to be provided pursuant to this **AGREEMENT**.

1.3 "Ab Initio" means from the beginning; from the first act; from the inception. *See Black's Law Dictionary, 5th Ed., © 1983.*

1.4 "Respondeat Superior" means let the master answer. This maxim means that a master is liable in certain cases for the wrongful acts of his servant, and a principal for those of his agent. *See Black's Law Dictionary, 5th Ed., © 1983.*

II. PERIOD OF SERVICE

This **AGREEMENT** shall take effect on the eleventh (11th) calendar day after it is approved by the San Antonio City Council or upon execution by both parties, whichever date is later, and

continue in full force and effect for the period required for completion of the duties as set forth in the Scope of Services.

III. SCOPE OF SERVICES

3.1 **CONSULTANT** shall not commence work until **CONSULTANT** has been thoroughly briefed on the scope of Project, and has been notified in writing by Director to proceed. **CONSULTANT** shall provide a written summary of the scope meeting, including a description of the Project's scope and **CONSULTANT's** services required by said scope. Should the scope subsequently change, either party may request a review of the anticipated services, with an appropriate adjustment in fees; however, such adjustment cannot exceed the maximum allowed for additional services in Article V, Compensation, and cannot substantially alter the original scope of this **AGREEMENT**.

3.2 **CONSULTANT**, in consideration for the compensation herein provided, shall render the professional services necessary for the development of the Project to substantial completion, including plans and specifications, construction services and any special and general conditions and instruction to bidders as acceptable to Director.

3.3 **CONSULTANT** shall be represented by a professional engineer licensed to practice in the State of Texas at meetings of any official nature concerning the Project, including but not limited to scope meetings, review meetings and other meetings as may be required by the Project development process. All design submittals shall carry the signature and seal or, in the case of progress, or incomplete submittals, an appropriate disclaimer with the professional engineer's name and license number, with the date of the submittal adjacent thereto of a licensed professional engineer.

3.4 **CONSULTANT** shall complete the various phases of work listed in this Article III "Scope of Services", including all attachments hereto, in accordance with the Production Schedule in Attachment "B" of this **AGREEMENT**. Director may, in writing, extend any delivery dates contained in said Attachment "B", Production Schedule, as requested by **CONSULTANT**.

3.5 Upon acceptance and approval of the plans, reports or other producibles required for a phase of work, as set forth in the Scope of Services, Director shall authorize **CONSULTANT**, in writing, to proceed with the next phase of work.

3.6 The following is a list of all the documents that comprise the Scope of Services for this Project: Each such document is attached hereto and incorporated herein for all purposes. **CONSULTANT** agrees to perform all work required by this Scope of Services.

3.6.1 ATTACHMENT "A" (Scope of Services)

3.6.2 ATTACHMENT "B" – (Production Schedule)

3.6.3 ATTACHMENT "C" – (Consultant's Fee Proposal and SBEDA Participation Statement From Consultant's Interest Statement)

IV. COORDINATION WITH THE CITY

4.1 **CONSULTANT** shall hold periodic conferences with Director, so that the project, as developed, shall have the full benefit of **CITY's** experience and knowledge of existing needs and facilities and be consistent with its current policies and standards. No more than two conferences shall be held per phase, unless otherwise agreed to by Parties. **CITY** shall make available, for **CONSULTANT's** use, all existing plans, maps, field notes, statistics, computations, and other data in its possession relative to existing facilities and to this Project as may be requested by **CONSULTANT** at no cost to **CONSULTANT**.

4.2 Director shall act on behalf of **CITY** with respect to the work performed under this **AGREEMENT**. He shall have complete authority to transmit instructions, receive information, and interpret and define **CITY's** policies and decisions with respect to materials, equipment elements and systems pertinent to **CONSULTANT's** services.

4.3 **CITY** shall provide written notice to the **CONSULTANT** of any errors or omissions discovered in the **CONSULTANT's** services, or performance, or of any development that affects the scope or timing of **CONSULTANT's** services.

4.4 **CONSULTANT** shall complete all applications and furnish all required data, as set forth in the Scope of Services, compiled by **CONSULTANT** for **CITY's** use in obtaining any permits or approvals from governmental authorities having jurisdiction over the Project as may be necessary for completion of the Project. **CONSULTANT** shall not be obligated to develop additional data, prepare extensive reports or appear at hearings in order to obtain said permits or approvals, unless compensated therefore as provided in Article V, entitled "Compensation".

V. COMPENSATION

5.1 For and in consideration of the services to be rendered by **CONSULTANT**, **CITY** shall pay **CONSULTANT** the fee set forth in this Article V, Compensation. **CITY** may request **CONSULTANT** to perform an engineering study to refine the Project scope. Payment for such a study will be negotiated in accordance with Article V, Section 5.5 herein.

5.2 Nothing contained in this **AGREEMENT** shall require **CITY** to pay for any unsatisfactory work, as determined by Director, or for work that is not in compliance with the terms of this **AGREEMENT**. **CITY** shall not be required to make any payments to **CONSULTANT** at any time **CONSULTANT** is in default under this **AGREEMENT**.

5.3 BASIS FOR COMPENSATION

A. The total fee for **CONSULTANT's** work as defined in the Scope of Services shall be **two hundred eighty-two thousand five hundred ten and 00/100 dollars (\$282,510.00)**.

B. **CONSULTANT** may submit invoices for partial payment prior to submittal of review documents as outlined below. **CONSULTANT** must submit a written progress report detailing work performed for the billing period reflected in the invoice. A partial payment made must be in proportion to the work performed as reflected in the report and approved by Director. Partial payments shall be payable no later than thirty (30) days following acceptance by Director. Partial payments shall not exceed 70% of each phase prior to acceptance of that phase by **CITY**. The balance due for that phase will be paid upon acceptance of the phase by **CITY**. **CITY** shall have no more than 45 days from the date of submittal within which to review and approve or reject said phase. If no action has been taken by Director at the expiration of the 45 day review period, said phase shall be deemed approved. If any phases are authorized to be omitted then the percentage allocation will be applied to the next appropriate phase. Payments shall be made to the **CONSULTANT** in accordance with the following:

1) **PRELIMINARY PHASE "A"**: 30% of the total fee due **CONSULTANT** shall be payable no later than thirty (30) days following acceptance of the Preliminary Phase "A" work by **CITY**;

2) **PRELIMINARY PHASE "B"**: 30% of the total fee due **CONSULTANT** shall be payable no later than thirty (30) days following acceptance of the Preliminary Phase "B" work by **CITY**.

3) **FINAL DESIGN**: 20% of the total fee due **CONSULTANT** shall be payable no later than thirty (30) days following acceptance of the Final Design by **CITY**.

4) **BID PHASE & DOCUMENTS**: 5% of the total fee due **CONSULTANT** shall be payable no later than thirty (30) days following acceptance of the Bid Documents by **CITY**.

5) **CONSTRUCTION PHASE**: 15% of the total fee due **CONSULTANT** shall be payable by **CITY** in monthly installments in accordance with the percentage of construction completed as determine by Director in his sole discretion.

5.4 **MODIFICATIONS** - **CONSULTANT** and **CITY** acknowledge the fact that the base fee as determined in section 5.3(A) above has been established predicated upon the total estimated costs of services to be rendered under the **AGREEMENT**. For additional services, compensation shall be subject to renegotiations in accordance with section 5.5 below.

5.5 **COMPENSATION FOR ADDITIONAL PROFESSIONAL SERVICES**

CONSULTANT may be required to perform the additional services listed in 5.5(B) below, subject to appropriations having been made therefore, in connection with this **AGREEMENT**. Should **CONSULTANT** be directed in writing by Director to perform these services, compensation shall be paid by **CITY** to **CONSULTANT** as authorized in writing by Director, as follows:

A. The basis for compensation for additional services may be in one or more of the following forms:

- (1) Rate for testimony of principals to be negotiated.
- (2) Non-Principal - Salary cost times a multiplier of 2.5 with a stated maximum not to be exceeded.
- (3) Principal – hourly rate set forth in 5.5(D) herein with a stated maximum not to be exceeded.
- (4) Reimbursement of non-labor expenses and **CITY** directed subcontract expenses at invoice cost plus a 15% service charge.
- (5) Lump sum per item of work to be negotiated.
- (6) Lump sum to be negotiated.

B. Additional services include, but are not limited to the following:

- (1) Assistance to **CITY** as an expert witness in any litigation with third parties, arising from the development or construction of the Project including the preparation of engineering data and reports.
- (2) Preparation of plats and field notes for acquisition of property required for the construction of the project.
- (3) Site visits for ROW pin locating and/or setting for utility companies.
- (4) Preparation of applications and supporting documents for governmental grants, loans, or advances in connection with the Project.
- (5) Preparation or review of environmental assessments and impact statements.
- (6) Review and evaluation of the effect on design requirements of the Project of any assessments, statements, or documents prepared by others.
- (7) Assistance in obtaining approvals of authorities having jurisdiction over the anticipated environmental impact of the Project.
- (8) Revising previously accepted studies, reports, design documents or **AGREEMENT** documents when such revisions are required by changes in laws, rules, regulations, ordinances, codes, standards, design criteria or orders enacted subsequent to the preparation of such studies, reports, and documents, or are due to causes beyond **CONSULTANT's** control.
- (9) Preparation of feasibility studies not required in the base **AGREEMENT**.
- (10) Detailed quantity surveys of materials, equipment and labor during or after construction phase.
- (11) Services in making revisions to Drawings and Specifications occasioned by the acceptance of substitutions by **CITY** proposed by the **CONTRACTOR** retained to construct the designed Project; and services after the award of each **CONTRACT** in evaluating and determining the acceptability of an unreasonable and excessive number of substitutions proposed by **CONTRACTOR**.
- (12) Services after the completion of the Construction Phase, such as inspections during any guarantee period and reporting observed discrepancies under guarantee called for in any contract for the Project.
- (13) Additional copies of reports, drawings and specifications over the number specified in the base **AGREEMENT**.
- (14) Preparation of all documents dealing with 404 permits, highway permits, and railroad agreements.
- (15) Preparation of driveway plats.

- (16) Obtaining Right of Entry Agreements on behalf of **CITY** for driveway penetrations.
- (17) Detailed measurements and surveys for exploration for utilities, if required.
- (18) Preparation of record drawing after completion of work by CONTRACTOR.
- (19) Actual performance of test borings and other soil or foundation investigations and related analysis.
- (20) Tree surveys.

C. Salary Cost - Salary cost is defined as the cost of salaries of engineers, draftsmen, stenographers, surveymen, clerks, laborers, etc. for time directly chargeable to the Project, plus customary and statutory benefits including but not limited to social security contributions, unemployment, excise and payroll taxes, employment compensation insurance, retirement benefits, medical and insurance benefits, sick leave, vacation and holiday pay applicable thereto.

- a. The amount of customary and statutory benefits of all personnel other than Principals of the Consulting Firm will be considered equal to 43 % of salaries or wages.

D. Principals of the Consulting Firm - For the purpose of this provision, the Principals of the Consulting Firm and their total hourly charge will be as follows, except as stated previously for testimony as expert witnesses:

<u>Principal Name</u>	<u>Hourly Charge</u>
<u>Michael L. Nichols</u>	<u>\$199.29</u>

5.6 **MAXIMUM COMPENSATION FOR ADDITIONAL SERVICES** – Total cumulative costs for the additional services listed in Section 5.5 or in Article VI below shall not exceed that amount appropriated by **CITY** as set forth in the ordinance authorizing this **AGREEMENT**, without prior authorization of the San Antonio City Council by passage of an ordinance therefore.

VI. REVISIONS TO DRAWINGS AND SPECIFICATIONS

CONSULTANT shall provide, at no expense to **CITY**, reasonable minor revisions to any phase, whether previously approved and accepted, as may be required to satisfy the scope of services established by this **AGREEMENT**. Approval of any phase constitutes **CITY's** acceptance of the design presented. After acceptance of each phase of the Project, any revisions, additions, or modifications made at **CITY's** request which constitute a change in the Scope of Services shall be subject to additional compensation to **CONSULTANT** as agreed upon by **CITY**, subject to Article V, Section 5.6 above.

VII. OWNERSHIP OF DOCUMENTS

7.1 **CONSULTANT** acknowledges and agrees that **CITY** shall own exclusively any and all information in whatsoever form and character produced and/or maintained in accordance with, pursuant to, or as a result of this **AGREEMENT** and shall be used as **CITY** desires and shall be delivered to **CITY** at no additional cost to **CITY** upon request or completion or termination of this **AGREEMENT** without restriction on future use.

7.2 **CONSULTANT** agrees and covenants to protect any and all proprietary rights of **CITY** in any materials provided to **CONSULTANT**. Such protection of proprietary rights by **CONSULTANT** shall include, but not be limited to, the inclusion in any copy intended for publication of copyright mark reserving all rights to **CITY**. Additionally, any materials provided to **CONSULTANT** by **CITY** shall not be released to any third party without the consent of **CITY** and shall be returned intact to **CITY** upon completion or termination of this **AGREEMENT**.

7.3 **CONSULTANT** hereby assigns all statutory and common law copyrights to any copyrightable work that in part or in whole was produced from this **AGREEMENT** to **CITY**, including all moral rights. No reports, maps, documents or other copyrightable works produced in whole or in part by this **AGREEMENT** shall be subject of an application for copyright by **CONSULTANT**. All reports, maps, project logos, drawings or other copyrightable work produced under this **AGREEMENT** shall become the property of **CITY** (excluding any instrument of services, unless otherwise specified herein). **CONSULTANT** shall, at its expense, defend all suits or proceedings instituted against **CITY** and pay any award of damages or loss resulting from an injunction, against **CITY**, insofar as the same are based on any claim that materials or work provided under this **AGREEMENT** constitute an infringement of any patent, trade secret, trademark, copyright or other intellectual property rights.

7.4 **CONSULTANT** may make copies of any and all documents and items for its files. **CONSULTANT** shall have no liability for changes made to or use of the drawings, specifications and other documents by other engineers, or other persons, subsequent to the completion of the Project. **CITY** shall require that any such change or other use shall be appropriately marked to reflect what was changed or modified.

7.5 Copies of documents that may be relied upon by **CITY** are limited to the printed copies (also known as hard copies) that are sealed and signed by **CONSULTANT**. Files in electronic media format of text, data, graphics, or other types that are furnished by **CONSULTANT** to **CITY** are only for convenience of **CITY**. Any conclusion or information obtained or derived from such electronic files will be at the user's sole risk.

VIII. TERMINATION AND/OR SUSPENSION OF WORK

8.1 For purposes of this **AGREEMENT**, termination of this **AGREEMENT** shall mean termination by expiration of the **AGREEMENT** term or earlier termination pursuant to any of the provisions hereof.

8.2 TERMINATION WITHOUT CAUSE.

8.2.1 This **AGREEMENT** may be terminated by either party upon written notice in accordance with Article XVIII, Notice. Such notice must specify an effective date of termination, which shall be not less than thirty (30) calendar days after the date of receipt of the notice by the other Party. If the notice does not specify a date of termination, the effective date of termination shall be thirty (30) calendar days after receipt of the notice by the other Party.

8.2.2 This **AGREEMENT** may be terminated by **CITY** prior to Director giving **CONSULTANT** written notice to proceed pursuant to Article III, Section 3.1, should Director, at his sole discretion, determine that it is not in **CITY's** best interest to proceed with this **AGREEMENT**. Such notice shall be provided in accordance with Article XVIII, Notice and shall be effective upon delivery by **CITY** in accordance with Article XVIII.

8.2.3 **CITY** shall equitably compensate **CONSULTANT** in accordance with the terms of this **AGREEMENT** for the Services properly performed prior to the effective date of termination, following inspection and acceptance of same by **CITY**. **CONSULTANT** shall not, however, be entitled to lost or anticipated profits should **CITY** choose to exercise its option to terminate.

8.3 DEFAULTS WITH OPPORTUNITY FOR CURE.

Should **CONSULTANT** fail to provide the required designs and/or documents required by Article III, Scope of Services by the due dates establish in Article III, Attachment B, Production Schedule, in acceptable form, as indicated in said Scope of Services as approved by Director, same shall be considered a default. However, Parties agree that no default shall be considered to occur where **CONSULTANT's** failure to provide the designs and/or documents is directly caused by the actions of **CITY**. **CITY** shall deliver written notice of said default specifying such matter(s) in default. **CONSULTANT** shall have ten (10) days after receipt of the written notice, in accordance with Article XVIII, Notice, to cure such default. If **CONSULTANT** fails to cure the default within such ten-day cure period, **CITY** shall have the right, without further notice, to terminate this **AGREEMENT** in whole or in part as **CITY** deems appropriate, and to **CONTRACT** with another contractor to complete the work required in this **AGREEMENT**. **CITY** shall also have the right to offset the cost of said new **CONTRACT** with a new contractor against **CONSULTANT's** future or unpaid invoice(s), subject to the duty on the part of **CITY** to mitigate its losses to the extent required by law.

8.4 **TERMINATION FOR CAUSE.** Upon written notice, **CITY** may terminate this **AGREEMENT** upon the occurrence of one (1) or more of the following events, each of which shall constitute an Event for Cause under this **AGREEMENT**:

8.4.1 **CONSULTANT** makes or allows to be made any material misrepresentation or provides any materially misleading information in connection with this **AGREEMENT**, including, but not limited to, **CONSULTANT's** Interest Statement, or any covenant, obligation, term or condition contained in this **AGREEMENT**; or

8.4.2 **CONSULTANT** violates or fails to perform any covenant, provision, obligation, term or condition of a material nature contained in this **AGREEMENT**, except those events of default for which an opportunity to cure is provided herein; however, if such default as provided in Section 8.3, Defaults with Opportunity for Cure, exceeds the following, same shall be considered an Event for Cause, subject to the remedies as provided herein:

(A) **CONSULTANT** fails to cure a default listed in Section 8.3 within the time period required for cure; or

(B) **CONSULTANT** is in default as provided in Section 8.3 on more than one occasion in any consecutive twelve (12) month period.

8.4.3 **CONSULTANT** attempts to assign this **AGREEMENT** contrary to the terms hereof; or experiences a change in ownership interest greater than thirty percent (30%), or control of its business entity; or

8.4.4 **CONSULTANT** ceases to do business as a going concern; makes an assignment for the benefit of creditors; admits in writing its inability to pay debts as they become due; files a petition in bankruptcy or has an involuntary bankruptcy petition filed against it (except in connection with a reorganization under which the business of such party is continued and performance of all its obligations under this **AGREEMENT** shall continue); or if a receiver, trustee or liquidator is appointed for it or any substantial part of **CONSULTANT's** assets or properties; or

8.4.5 **CONSULTANT** fails to comply in any respect with the insurance requirements set forth in this **AGREEMENT**; or

8.4.6 **CONSULTANT** violates any rule, regulation or law by which **CONSULTANT** is bound or shall be bound while and in performing the services required under this **AGREEMENT**.

8.5 **TERMINATION BY LAW.** If any state or federal law or regulation is enacted or promulgated which prohibits the performance of any of the duties herein, or, if any law is interpreted to prohibit such performance, this **AGREEMENT** shall automatically terminate as of the effective date of such prohibition.

8.6 **EFFECT OF TERMINATION.** Notwithstanding Section 8.3, Defaults with Opportunity for Cure, upon a decision to terminate by **CITY**, written notice of such shall be immediately provided to **CONSULTANT** specifying the effective date of termination, notice of which shall be given in accordance with Article XVIII, Notice.

8.6.1 Regardless of how this **AGREEMENT** is terminated, and subject to 8.6.2, **CONSULTANT** shall affect an orderly transfer to **CITY** or to such person(s) or firm(s) as the **CITY** may designate, at no additional cost to **CITY**, all completed or partially completed specifications and reproducible of all completed or partially completed designs

and plans prepared pursuant to this **AGREEMENT**, documents, papers, records, charts, reports, and any other materials or information produced as a result of or pertaining to the services rendered by **CONSULTANT**, or provided to **CONSULTANT**, hereunder in accordance with Article VII, Ownership of Documents. Any record transfer shall be completed within thirty (30) calendar days of the termination date and shall be completed at **CONSULTANT**'s sole cost and expense. Payment of compensation due or to become due to **CONSULTANT** is conditioned upon delivery of all such documents.

8.6.2 Within forty-five (45) calendar days of the effective date of completion, or termination or expiration of this **AGREEMENT**, **CONSULTANT** shall submit to **CITY** its claims, in detail, for the monies owed by **CITY** for services performed under this **AGREEMENT** through the effective date of termination. Failure by **CONSULTANT** to submit its claims within said forty-five (45) calendar days shall negate any liability on the part of **CITY** and constitute a **Waiver** by **CONSULTANT** of any and all right or claims to collect moneys that **CONSULTANT** may rightfully be otherwise entitled to for services performed pursuant to this **AGREEMENT**.

8.6.3 Upon the effective date of expiration or termination of this **AGREEMENT**, **CONSULTANT** shall cease all operations of work being performed by **CONSULTANT** or any of its subcontractors pursuant to this **AGREEMENT**.

8.6.4 **Termination not sole remedy.** In no event shall **CITY**'s action of terminating this **AGREEMENT**, whether for cause or otherwise, be deemed an election of **CITY**'s remedies, nor shall such termination limit, in any way, at law or at equity, **CITY**'s right to seek damages from or otherwise pursue **CONSULTANT** for any default hereunder or other action.

8.7 **RIGHT OF CITY TO SUSPEND GIVING RISE TO RIGHT OF CONSULTANT TO TERMINATE.**

8.7.1 **CITY** may suspend this **AGREEMENT** at the end of any phase for the convenience of **CITY** by issuing a signed, written notice of suspension (citing this paragraph) as provided in Article XVIII, Notice, which shall outline the reasons for the suspension and the duration of the suspension. However, it is understood and agreed by the Parties that the total number of days of suspension as reflected in said notice is not guaranteed, and in fact, may be shorter or longer. Such suspension shall take effect upon the date set forth in the notice, or if no date is set forth, immediately upon **CONSULTANT**'s receipt of said notice.

8.7.2 **CONSULTANT** may terminate this **AGREEMENT** in the event such suspension exceeds one hundred and twenty (120) calendar days. **CONSULTANT** may exercise this right to terminate by issuing a signed, written notice of termination, in accordance with Article XVIII, Notice, (citing this paragraph) to **CITY** after the expiration of one hundred and twenty (120) calendar days from the effective date of the suspension. Termination pursuant to this paragraph shall become effective immediately upon receipt of said written notice by **CITY**.

8.8 PROCEDURES FOR CONSULTANT TO FOLLOW UPON RECEIPT OF NOTICE OF SUSPENSION.

- 8.8.1 Upon receipt of written notice of suspension, **CONSULTANT** shall, unless the notice otherwise directs, immediately discontinue all services in connection with the performance of this agreement and cancel all existing orders and contracts.
- 8.8.2 **CONSULTANT** shall prepare a statement showing in detail the services performed under this agreement prior to the effective date of suspension.
- 8.8.3 All completed or partially completed designs, plans and specifications prepared under this agreement prior to the effective date of suspension shall be prepared for possible delivery to **CITY** but shall be retained by **CONSULTANT** until such time as **CONSULTANT** may exercise the right to terminate.
- 8.8.4 In the event that **CONSULTANT** exercises the right to terminate after the suspension date as provided by this Article, within thirty (30) calendar days after receipt by **CITY** of **CONSULTANT**'s notice of termination, **CONSULTANT** shall submit the above referenced statement showing in detail the services performed under this agreement prior to the effective date of suspension. Nothing in this section 8.8.4 shall prevent **CONSULTANT** from submitting invoices for partial payments for work performed prior to the termination date as provided by Article V, Compensation.
- 8.8.5 Additionally, any documents prepared in association with this **AGREEMENT** shall be delivered to **CITY** by **CONSULTANT**, as a pre-condition to final payment, within thirty (30) calendar days after receipt by **CITY** of **CONSULTANT**'s notice of termination.
- 8.8.6 Upon the above conditions being met, **CITY** shall pay **CONSULTANT** that proportion of the prescribed fee which the services actually performed under this agreement bear to the total services called for under this agreement, less previous payments of the fee.
- 8.8.7 **CITY**, as a public entity, has a duty to document the expenditure of public funds. **CONSULTANT** acknowledges this duty on the part of **CITY**. To this end, **CONSULTANT** understands that failure of **CONSULTANT** to substantially comply with the submittal of the statements and documents as required herein shall constitute a waiver by **CONSULTANT** of any portion of the fee for which **CONSULTANT** did not supply such necessary statements and/or documents.

IX. INSURANCE REQUIREMENTS

- 9.1 Prior to the commencement of any work under this **AGREEMENT**, **CONSULTANT** shall furnish an original completed Certificate of Insurance to **CITY**'s Public Works Department and **CITY**'s Risk Management Division, and shall be clearly labeled "**Alamo Farmsteads Drainage Phase I on Whitby from Abe Lincoln to Leon Creek**", which shall be completed by

an agent authorized to bind the named underwriter(s) and their company to the coverage, limits, and termination provisions shown thereon, containing all required information referenced or indicated thereon. The original certificate must have the agent's original signature, including the signer's company affiliation, title and phone number, and be mailed directly from the agent to **CITY**. **CITY** shall have no duty to pay or perform under this **AGREEMENT** until such certificate shall have been delivered to **CITY's** Public Works Department and **CITY's** Risk Management Office, and no officer or employee shall have authority to waive this requirement.

9.2 **CONSULTANT's** financial integrity is of interest to **CITY**, therefore, subject to **CONSULTANT's** right to maintain reasonable deductibles in such amounts as are approved by **CITY**, **CONSULTANT** shall obtain and maintain in full force and effect for the duration of this **AGREEMENT**, and any extension hereof, at **CONSULTANT's** sole expense, insurance coverage written on an occurrence basis, except for professional liability, by companies authorized, approved or admitted to do business in the State of Texas and rated A- or better by A.M. Best Company and/or otherwise acceptable to **CITY**, in the following types and amounts:

<u>TYPE</u>	<u>MINIMUM AMOUNTS</u>
1. Workers' Compensation	Statutory
2. Employers' Liability	\$500,000/\$500,000/\$500,000
3. Commercial General (public) Liability Insurance to include coverage for the following: a. Premises/Operations b. Independent Contractors c. Products/completed operations d. Personal Injury e. Contractual liability	Combined <u>Single Limit</u> for <u>Bodily Injury</u> and <u>Property Damage</u> of \$1,000,000 per; General Aggregate limit of \$2,000,000 occurrence or its equivalent in umbrella or excess liability coverage
4. Business Automobile Liability a. Owned/Leased Vehicles b. Non-Owned Vehicles c. Hired Vehicles	Combined Single Limit for Bodily Injury and Property Damage of \$1,000,000 per occurrence
5. Professional Liability (Claims made form)	\$1,000,000 per claim to pay on behalf of the insured all sums which the insured shall become legally obligated to pay as damages by reason of any negligent act, malpractice, error or omission in professional services.

9.3 **CITY** shall be entitled, upon request and without expense, to receive copies of the policies and all endorsements thereto as they apply to the limits required by **CITY**. **CONSULTANT** shall be required to comply with any such requests and shall submit a copy of the replacement certificate of insurance to **CITY** at the address provided in Article IX herein within 10 days of the requested change.

9.4 **CONSULTANT** agrees that with respect to the above required insurance, all insurance contracts and Certificate(s) of Insurance will contain the following required provisions:

- Name **CITY** and its officers, employees, and elected representatives as additional insureds as respects operations and activities of, or on behalf of, the named insured performed under contract with **CITY**, with the exception of the workers' compensation and professional liability policies;
- Provide for an endorsement that the "other insurance" clause shall not apply to the City of San Antonio where **CITY** is an additional insured shown on the policy;
- Workers' compensation and employers' liability policy will provide a waiver of subrogation in favor of **CITY**.

9.5 When there is a cancellation, non-renewal or material change in coverage which is not made pursuant to a request by **CITY**, **CONSULTANT** shall notify **CITY** of such and shall give such notices not less than thirty (30) days prior to the change, if **CONSULTANT** knows of said change in advance, or ten (10) days notice after the change, if the **CONSULTANT** did not know of the change in advance. In the event of cancellation or non-renewal, such notice must be accompanied by a replacement Certificate of Insurance. All notices under this Article shall be given to **CITY** at the following addresses:

City of San Antonio	City of San Antonio
Public Works Department – Capital Programs	Risk Management
P.O. Box 839966	111 Soledad, 10 th Floor
San Antonio, Texas 78283-3966	San Antonio, Texas 78205

9.6 If **CONSULTANT** fails to maintain the aforementioned insurance, or fails to secure and maintain the aforementioned endorsements, **CITY** may obtain such insurance, and deduct and retain the amount of the premiums for such insurance from any sums due under the AGREEMENT; however, procuring of said insurance by **CITY** is an alternative to other remedies **CITY** may have, and is not the exclusive remedy for failure of **CONSULTANT** to maintain said insurance or secure such endorsement. In addition to any other remedies **CITY** may have upon **CONSULTANT**'s failure to provide and maintain any insurance or policy endorsements to the extent and within the time herein required, **CITY** shall have the right to order **CONSULTANT** to stop work hereunder, and/or withhold any payment(s) which become due to **CONSULTANT** hereunder until **CONSULTANT** demonstrates compliance with the requirements hereof. A stop work order given to **CONSULTANT** by **CITY** in accordance with this Article shall not constitute a Suspension of Work pursuant to Article VIII, Section 8.7.

9.7 Nothing herein contained shall be construed as limiting in any way the extent to which **CONSULTANT** may be held responsible for payments of damages to persons or property resulting from **CONSULTANT**'s or its subcontractors' performance of the work covered under this AGREEMENT.

9.8 It is agreed that **CONSULTANT's** insurance shall be deemed primary with respect to any insurance or self insurance carried by **CITY** of San Antonio for liability arising out of operations under this **AGREEMENT**.

X. INDEMNIFICATION

10.1 **CONSULTANT**, whose work product is the subject of this **AGREEMENT** for engineering services, agrees to **INDEMNIFY AND HOLD CITY, ITS ELECTED OFFICIALS, OFFICERS AND EMPLOYEES HARMLESS** against any and all claims, lawsuits, judgments, cost, liens, losses, expenses, fees (including attorney's fees and costs of defense), proceedings, actions, demands, causes of action, liability and suits of any kind and nature, including but not limited to, personal injury (including death), property damage, or other harm for which recovery of damages is sought that may **ARISE OUT OF OR BE OCCASIONED OR CAUSED BY CONSULTANT'S NEGLIGENT ACT, ERROR, OR OMISSION OF CONSULTANT, ANY AGENT, OFFICER, DIRECTOR, REPRESENTATIVE, EMPLOYEE, CONSULTANT OR SUBCONSULTANT OF CONSULTANT, AND THEIR RESPECTIVE OFFICERS, AGENTS, EMPLOYEES, DIRECTORS AND REPRESENTATIVES** while in the exercise of performance of the rights or duties under this **AGREEMENT**. The indemnity provided for in this paragraph shall not apply to any liability resulting from the negligence of **CITY**, its officers or employees, in instances where such negligence causes personal injury, death, or property damage. **IN THE EVENT CONSULTANT AND CITY ARE FOUND JOINTLY LIABLE BY A COURT OF COMPETENT JURISDICTION, LIABILITY SHALL BE APPORTIONED COMPARATIVELY IN ACCORDANCE WITH THE LAWS OF THE STATE OF TEXAS, WITHOUT, HOWEVER, WAIVING ANY GOVERNMENTAL IMMUNITY AVAILABLE TO CITY UNDER TEXAS LAW AND WITHOUT WAIVING ANY DEFENSES OF THE PARTIES UNDER TEXAS LAW.**

10.2 **CONSULTANT** shall advise **CITY** in writing within 24 hours of any claim or demand against **CITY** or **CONSULTANT** known to **CONSULTANT** related to or arising out of **CONSULTANT's** activities under this **AGREEMENT**.

10.3 The provisions of this section are solely for the benefit of the parties hereto and not intended to create or grant any rights, contractual or otherwise, to any other person or entity.

XI. ENGINEER'S LIABILITY

11.1 Acceptance of the final plans by **CITY** shall not constitute nor be deemed a release of the responsibility and liability of **CONSULTANT**, its employees, associates, agents or subcontractors for the accuracy and competency of their designs, working drawings, specifications or other documents and work; nor shall such acceptance be deemed an assumption of responsibility or liability by **CITY** for any defect in the designs, working drawings, specifications or other documents and work prepared by said **CONSULTANT**, its employees, subcontractors, and agents.

11.2 Standard of Care: Services provided by **CONSULTANT** under this **AGREEMENT** will be performed in a manner consistent with that degree of care and skill ordinarily exercised by members of the same profession currently practicing under similar circumstances.

XII. LICENSING

CONSULTANT shall utilize qualified personnel to complete the work to be performed under this **AGREEMENT**, and all work performed under this **AGREEMENT** is to be executed under the direct supervision of a licensed professional engineer as required by state law. Persons retained to perform work pursuant to this **AGREEMENT** shall be the employees or subcontractors of **CONSULTANT**. **CONSULTANT** or its subcontractors shall perform all necessary work.

XIII. ASSIGNMENT OF RIGHTS OR DUTIES

13.1 By entering into this **AGREEMENT**, **CITY** has approved the use of any subcontractors identified in **CONSULTANT**'s Interest Statement. No further approval shall be needed for **CONSULTANT** to use such subcontractors as are identified in **CONSULTANT**'s Interest Statement.

13.2 Except as otherwise required herein, **CONSULTANT** may not sell, assign, pledge, transfer or convey any interest in this **AGREEMENT** nor delegate the performance of any duties hereunder, by transfer, by subcontracting or any other means, without the consent of **CITY**. Actual engineering services, those required by law to be performed by a licensed engineer, or services to be performed which, by law, require the supervision and approval of a licensed engineer, may only be subcontracted upon the written approval of the San Antonio City Council, by approval and passage of an ordinance therefore. Any other services to be performed under this **AGREEMENT** may be subcontracted upon the written approval of Director.

13.3 As a condition of consent, if same is given, **CONSULTANT** shall remain liable for completion of the services outlined in this **AGREEMENT** in the event of default by the successor consultant, assignee, transferee or subcontractor. Any references in this **AGREEMENT** to an assignee, transferee, or subcontractor, indicate only such an entity as has been approved by **CITY** in accordance with this Article.

13.4 Any attempt to assign, transfer, pledge, convey or otherwise dispose of any part of, or all of its right, title, interest or duties to or under this **AGREEMENT**, without said written approval, shall be void ab initio and shall confer no rights upon any third person. Should **CONSULTANT** assign, transfer, convey or otherwise dispose of any part of, or all of its right, title or interest or duties to or under this **AGREEMENT**, **CITY** may, at its option, terminate this **AGREEMENT** in accordance with Article VIII, Termination, and all rights, titles and interest of **CONSULTANT** shall thereupon cease and terminate, notwithstanding any other remedy available to **CITY** under this **AGREEMENT**. The violation of this provision by **CONSULTANT** shall in no event release **CONSULTANT** from any obligation under the terms of this **AGREEMENT**, nor shall it relieve or release **CONSULTANT** from the payment of any damages to **CITY**, which **CITY** sustains as a result of such violation.

13.5 **CONSULTANT** agrees to notify Director of any changes in ownership interest greater than thirty percent (30%), or control of its business entity not less than sixty (60) days in advance of the effective date of such change. Notwithstanding any other remedies that are available to **CITY** under this **AGREEMENT**, any such change of ownership interest or control of its business entity may be grounds for termination of this **AGREEMENT** in accordance with Article VIII, Termination.

XIV. INDEPENDENT CONTRACTOR

14.1 **CONSULTANT** covenants and agrees that (s)he is an independent contractor and not an officer, agent, servant, or employee of **CITY**; that **CONSULTANT** shall have exclusive control of and exclusive right to control the details of the work performed hereunder and all persons performing same, and shall be responsible for the acts and omissions of its officers, agents, employees, contractors, and subcontractors; that the doctrine of respondeat superior shall not apply as between **CITY** and **CONSULTANT**, its officers, agents, employees, contractors, and subcontractors, and nothing herein shall be construed as creating a partnership or joint enterprise between **CITY** and **CONSULTANT**.

14.2 No Third Party Beneficiaries - For purposes of this **AGREEMENT**, including its intended operation and effect, the Parties specifically agree and **CONTRACT** that: (1) this **AGREEMENT** only affects matters/disputes between the Parties to this **AGREEMENT**, and is in no way intended by the Parties to benefit or otherwise affect any third person or entity, notwithstanding the fact that such third person or entities may be in a contractual relationship with **CITY** or **CONSULTANT** or both, or that such third parties may benefit incidentally by this **AGREEMENT**; and (2) the terms of this **AGREEMENT** are not intended to release, either by contract or operation of law, any third person or entity from obligations owing by them to either **CITY** or **CONSULTANT**.

XV. EQUAL EMPLOYMENT OPPORTUNITY

CONSULTANT shall not engage in employment practices which have the effect of discriminating against any employee or applicant for employment, and, will take affirmative steps to ensure that applicants are employed and employees are treated during employment without regard to their race, color, religion, national origin, sex, age, handicap, or political belief or affiliation. Specifically, **CONSULTANT** agrees to abide by all applicable provisions of San Antonio City ordinance number 69403 on file in the City Clerk's office.

XVI. SBEDA REQUIREMENTS

16.1 **CONSULTANT** hereby acknowledges that it is the policy of the **CITY** of San Antonio to assist in increasing the competitiveness and qualifications of small ("SBE"), women ("WBE"), handicapped and minority-owned business ("MBE") enterprises in order to afford greater opportunities for obtaining and participating in contracts, related subcontracts, and leases and concessions awarded by **CITY**. This policy and its implementation is known as the Small Business Economic Development Advocacy Program (hereinafter referred to as "SBEDA Program").

16.2 **CONSULTANT** agrees to implement the plan submitted in **CONSULTANT's** response to **CITY's** Request for Interest Statement under the SBEDA Program for Small, Minority and Women-owned Business Participation in this **AGREEMENT**, thereby meeting the percentages for participation of those groups as submitted therein. **CONSULTANT** agrees to be in full compliance with this article by meeting the percentages listed in **CONSULTANT's** Interest Statement no later than 60 days from the date of execution of this **AGREEMENT**, and to remain in compliance throughout the term of this **AGREEMENT**. **CONSULTANT** further agrees to continue to make every effort to utilize businesses for subcontracting and supplying during the duration of this **AGREEMENT**, as may be approved pursuant to this **AGREEMENT**, that will meet the percentages submitted in **CONSULTANT's** Interest Statement. Changes in contract value by changes in work orders, **AGREEMENT** amendments, or use of contract alternatives, which result in an increase in the value of the **AGREEMENT** by 10% or greater require the **CONSULTANT** to increase its use of business enterprises described in this section, if such is necessary to maintain the same percentages as exist in **CONSULTANT's** Interest Statement. However, the delegation of any duties hereunder by any means must be approved by **CITY** as stated herein.

16.3 **CONSULTANT** shall maintain records showing all contracts, subcontracts, and supplier awards to SBE/MBE/WBE's. **CONSULTANT** shall submit annual reports to **CITY's** Department of Economic Development, identifying the above activity and other efforts at increasing SBE/MBE/WBE participation in the **AGREEMENT**. Further, such records shall be open to inspection by **CITY** or its authorized agent at all reasonable times. Should **CITY** find that **CONSULTANT** is not in compliance with this article, **CITY** shall give notice of non-compliance to **CONSULTANT**. **CONSULTANT** shall have 30 calendar days to correct any and all deficiencies in compliance with this article. Failure to comply with this article and/or to correct any deficiencies within the time allotted shall be considered a material breach of this **AGREEMENT** and may subject **CONSULTANT** to any of the penalties listed in **CITY** of San Antonio Ordinance No. 77758, at **CITY's** option. Further, such failure may be considered a default for which **CITY** may terminate this **AGREEMENT** in accordance with Article VIII, Termination.

16.4 **CONSULTANT** shall appoint a representative of its company to administer and coordinate its efforts to carry out these requirements.

16.5 In all events, **CONSULTANT** shall comply with the **CITY's** Small, Business Economic Development Advocacy Program, contained in San Antonio Ordinance No. 77758, and the amendments thereto. Said ordinances are incorporated herein for all purposes, as if fully set forth herein.

16.6 It is **CITY's** understanding, and this **AGREEMENT** is made in reliance thereon, that **CONSULTANT**, in the performance of services required hereunder, will use the subcontractor(s) listed in its response to **CITY's** Request for Interest Statement.

16.7 Any work or services subcontracted by **CONSULTANT** shall be by written contract, and unless specific waiver is granted in writing by **CITY**, shall be subject by its terms to each and every provision of this **AGREEMENT**. Compliance by subcontractor with the provisions of said contract shall be the responsibility of **CONSULTANT**.

16.8 **CITY** shall in no event be obligated to any third party, including any subcontractor of **CONSULTANT**, for performance or services or payment of fees.

XVII. ESTIMATES OF COST

Because **CONSULTANT** has no control over the cost of construction labor, materials or equipment or over the construction contractor's methods of determining prices, or over competitive bidding or market conditions, **CONSULTANT's** opinions of probable construction cost provided herein shall be made on the basis of **CONSULTANT's** experience and qualifications and represent **CONSULTANT's** best judgment as a design professional familiar with the construction industry. **CONSULTANT** cannot and does not guarantee that proposals, bids or the construction cost will not vary from opinions of probable cost prepared by **CONSULTANT**.

XVIII. NOTICES

Unless otherwise expressly provided elsewhere in this **AGREEMENT**, any election, notice or communication required or permitted to be given under this **AGREEMENT** shall be in writing and deemed to have been duly given if and when delivered personally (with receipt acknowledged), or on receipt after mailing the same by certified mail, return receipt request with proper postage prepaid, or three (3) days after mailing the same by first class U.S. mail, postage prepaid (in accordance with the "Mailbox Rule"), or when sent by a national commercial courier service (such as Federal Express or DHL Worldwide Express) for expedited delivery to be confirmed in writing by such courier.

If intended for CITY, to:

CITY of San Antonio
Public Works Department - Capital Programs
Attn: William E. Krause, Capital Programs Mgr.
P.O. Box 839966
San Antonio, Texas 78283-3966

If intended for CONSULTANT, to:

Michael L. Nichols, P.E.,
Senior Vice President
Freese & Nichols, Inc.
711 Navarro, Suite 215
San Antonio, Texas 78205

XIX. INTEREST IN CITY CONTRACTS PROHIBITED

19.1 **CONSULTANT** acknowledges that it is informed that the Charter of the City of San Antonio and its Ethics Code prohibit a City officer or employee, as those terms are defined in the Ethics Code, from having a financial interest in any contract with **CITY** or any **CITY** agency such as **CITY** owned utilities. An officer or employee has a "prohibited financial interest" in a contract with **CITY** or in the sale to **CITY** of land, materials, supplies or service, if any of the following individual(s) or entities is a party to the contract or sale: a **CITY** officer or employee;

his parent, child or spouse; a business entity in which the officer or employee, or his parent, child or spouse owns ten (10) percent or more of the voting stock or shares of the business entity, or ten (10) percent or more of the fair market value of the business entity; a business entity in which any individual or entity above listed is a subcontractor on a **CITY** contract, a partner or a parent or subsidiary business entity.

19.2 **CONSULTANT** warrants and certifies, and this **AGREEMENT** is made in reliance thereon, that it, its officers, employees and agents are neither officers nor employees of **CITY**. **CONSULTANT** further warrants and certifies that it has tendered to **CITY** a Discretionary Contracts Disclosure Statement in compliance with **CITY**'s Ethics Code.

XX. SOLICITATION

CONSULTANT warrants and represents that he has not employed or retained any company or person, other than a bona fide employee working solely for **CONSULTANT**, to solicit or secure this **AGREEMENT**, nor paid or agreed to pay any company or person, other than a bona fide employee working solely for **CONSULTANT**, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or making of this **AGREEMENT**. This representation constitutes a substantial part of the consideration for the making of this **AGREEMENT**.

XXI. CONTRACT CONSTRUCTION

All parties have participated fully in the review and revision of this **AGREEMENT**. Any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply to the interpretation of this **AGREEMENT**.

XXII. FAMILIARITY WITH LAW AND CONTRACT TERMS

CONSULTANT represents that, prior to signing this **AGREEMENT**, **CONSULTANT** has become thoroughly acquainted with all matters relating to the performance of this **AGREEMENT**, all applicable laws, and all of the terms and conditions of this **AGREEMENT**.

XXIII. APPLICABLE LAW

This **AGREEMENT** shall be governed by and construed in accordance with the laws and court decisions of the State of Texas.

XXIV. VENUE

The obligations of the parties to this **AGREEMENT** shall be performable in San Antonio, Bexar County, Texas, and if legal action, such as civil litigation, is necessary in connection therewith, exclusive venue shall lie in Bexar County, Texas.

XXV. SEVERABILITY

In the event any one or more paragraphs or portions of this **AGREEMENT** are held invalid or unenforceable, such shall not affect, impair or invalidate the remaining portions of this **AGREEMENT**, but such shall be confined to the specific section, sentences, clauses or portions of this **AGREEMENT** held invalid or unenforceable.

XVI. FORCE MAJEURE

In the event that performance by either party of any of its' obligations or undertakings hereunder shall be interrupted or delayed by any occurrence and not occasioned by the conduct of either party hereto, whether such occurrence be an act of God or the common enemy or the result of war, riot, civil commotion, sovereign conduct, or the act or conduct of any person or persons not party or privy hereto, then such party shall be excused from performance for a period of time as is reasonably necessary after such occurrence to remedy the effects thereof, and each party shall bear the cost of any expense it may incur due to the occurrence.

XVII. SUCCESSORS

This **AGREEMENT** shall be binding upon and inure to the benefit of the parties hereto and their respective heirs, executors, administrators, successors and, except as otherwise provided in this **AGREEMENT**, their assigns.

XXVIII. NON-WAIVER OF PERFORMANCE

28.1 A waiver by either Party of a breach of any of the terms, conditions, covenants or guarantees of this **AGREEMENT** shall not be construed or held to be a waiver of any succeeding or preceding breach of the same or any other term, condition, covenant or guarantee herein contained. Further, any failure of either Party to insist in any one or more cases upon the strict performance of any of the covenants of this **AGREEMENT**, or to exercise any option herein contained, shall in no event be construed as a waiver or relinquishment for the future of such covenant or option. In fact, no waiver, change, modification or discharge by either party hereto of any provision of this **AGREEMENT** shall be deemed to have been made or shall be effective unless expressed in writing and signed by the party to be charged. In case of **CITY**, such changes must be approved by the San Antonio City Council.

28.2 No act or omission by a Party shall in any manner impair or prejudice any right, power, privilege, or remedy available to that Party hereunder or by law or in equity, such rights, powers, privileges, or remedies to be always specifically preserved hereby.

XXIX. PARAGRAPH HEADINGS

The headings of this **AGREEMENT** are for the convenience of reference only and shall not affect in any manner any of the terms and conditions hereof.

XXX. LEGAL AUTHORITY

The signer of this **AGREEMENT** for **CITY** and **CONSULTANT** each represents, warrants, assures and guarantees that he has full legal authority to execute this **AGREEMENT** on behalf of **CITY** and **CONSULTANT** respectively, and to bind **CITY** and **CONSULTANT** to all of the terms, conditions, provisions and obligations herein contained.

XXXI. INCORPORATION OF ATTACHMENTS

CONSULTANT understands and agrees that all attachments, including appendices thereto, referred to in this **AGREEMENT** are intended to be and hereby are incorporated herein and specifically made a part of this **AGREEMENT** for all purposes. Said attachments are as follows:

ATTACHMENT "A" (Scope of Services)

ATTACHMENT "B" – (Production Schedule)

ATTACHMENT "C" – (**CONSULTANT**'s Fee Proposal and SBEDA Participation Statement from **CONSULTANT**'s Interest Statement)

In the event of a conflict or inconsistency between any attachment and the terms of this **AGREEMENT**, the terms of this **AGREEMENT** shall govern and prevail. In the event of a conflict or inconsistency between Attachment "A" and Attachments "B" and/or Attachment "C", the terms of Attachment "A" shall control over the terms of Attachment "B" and Attachment "C". In the event of a conflict or inconsistency between Attachment "B" and Attachment "C", the terms of Attachment "B" shall control over the terms of Attachment "C".

XXXII. ENTIRE AGREEMENT

32.1 This **AGREEMENT**, together with its authorizing ordinance and Attachments, as listed in Article XXXI, Incorporation of Attachments, embodies the complete **AGREEMENT** of the Parties hereto, superseding all oral or written previous and contemporary agreements between the Parties relating to matters herein; and except as otherwise provided herein, cannot be modified without written consent of the parties and approved by ordinance passed by the San Antonio City Council.

32.2 It is understood and agreed by the Parties hereto that changes in local, state or federal rules, regulations or laws applicable hereto may occur during the term of this **AGREEMENT** and that any such changes shall be automatically incorporated into this **AGREEMENT** without written amendment hereto, and shall become a part hereof as of the effective date of the rule, regulation or law.

EXECUTED ON THIS, THE _____ DAY OF _____, 2004.

CITY OF SAN ANTONIO

CONSULTANT:

FREESE & NICHOLS, INC.

CITY MANAGER

Michael L. Nichols - President

MICHAEL L. NICHOLS, P.E.,
SENIOR VICE PRESIDENT

APPROVED AS TO FORM:

CITY ATTORNEY

CITY CLERK

DATE

ATTACHMENT "A"

SCOPE OF SERVICES

A. The Preliminary Phase:

CONSULTANT SHALL:

1. Phase "A"

- a. Meet with CITY officials to determine the scope of the proposed project.
- b. Contact the Right-of-Way Division CITY if any easements, fee title right-of-way, or fee title land acquisition is to be involved in the project. Confer with CITY's Right of Way division on possible alternate routes or sites, if any, that may result in cost savings. CONSULTANT shall advise CITY on Right-of-Way and land cost alternatives as weighed against any increased construction costs entailed as a part of this Phase.
- c. Make personal contact with each of the Utility Company Coordinators whose utility services may be affected by this Project and request the most current records showing the location of facilities. CONSULTANT shall identify particular problems and conflicts arising from such facilities affecting the Project and shall make recommendations with respect thereto. In accordance with an Agreement existing between CITY and City Public Service, documents will be furnished by the City Public Service Utility Coordinator at no cost to CONSULTANT upon request by CONSULTANT and CONSULTANT shall attach a copy of documentation to the Preliminary Phase "A" Report. CITY will assist CONSULTANT in obtaining data and services requested from the Utility Companies by CONSULTANT after diligent effort has been made by CONSULTANT to no avail.
- d. Perform any field surveys, required to establish existing right-of-way or easement boundaries (but not right-of-way acquisition surveys), and, where necessary, site topography required to collect information needed in the design of the Project, establishing or locating at least two bench marks set to U.S. Coast and Geodetic Survey Datum within the job site in accordance with sound engineering practices. Detailed measurements and surveys for exploration for utilities, if required, will be additional services.
- e. Meet with CITY's Drainage Engineer to review existing preliminary drainage studies that include the project area with consideration for the relative location of the project within the watershed as well as upstream and downstream drainage facilities. Study the existing drainage conditions for the project. Determine drainage area impacting the project limits, and prepare preliminary calculation of drainage flows within the project limits. Review, study and report adequacy of existing drainage systems and drainage design alternatives for the project including street drainage, storm sewer system, detention and/or combinations thereof. Provide schematic presentation of proposed design solutions to address all drainage issues within the project limits and associated upstream and downstream of the project. Include these solutions in the Phase "A" report for determining project feasibility.
- f. Develop a program for the project including schematic layouts and drawings in sufficient detail to determine Project feasibility and give opinions of probable construction costs of the various components or portions of the Project. Include a statement addressing any anticipated right-of-way needs. After determining that sufficient data and information has been compiled, and to the extent that a recommendation can be made, prepare a report of findings and recommendations and furnish CITY with fourteen (14) copies of said report. Upon review of said report and after approval of same, CITY will furnish to CONSULTANT, in writing, authority to proceed with the completion of Preliminary Phase "B."

2. Phase "B"

- a. Prepare a preliminary plan and supporting documents of the proposed project, including plan and profile, in sufficient detail to indicate clearly the problems involved, including trees of 6" caliper or greater, if tree survey has been authorized as an additional service, and approximate locations of the existing utilities within the project site or right-of-way, and anticipate the design, if appropriate, of solutions to minimize conflicts.
- b. Prepare a complete hydrologic and hydraulic design report for the drainage system. This report must include computer models and hydraulic and energy lines plotted on a set of drawing profiles, if applicable.
- c. Show on the preliminary plan existing topographical features and improvements within and outside the right-of-way, necessary for the design of the project. Show any fence or structural encroachments; identify whether or not removal is necessary for construction and/or utility relocation, and show house numbers of each residence on the plans.
- d. When applicable, show adequate existing property lines elevations, proposed top of curb elevations, proposed top of channel elevations and all adequate proposed flow line elevations on the profiles.
- e. Under separate cover, submit one set of pavement design calculations to support the proposed roadway design. Plot and submit the energy grade lines and hydraulic grade lines on one set of prints for review by CITY's Drainage Engineer.
- f. Where applicable, under separate cover, provide supporting documents for design of corrugated pipe to support the proposed design loads.
- g. When both drainage and street reconstruction are included in the project, show the proposed drainage facilities and street improvements on the same plan and profile sheets with the proposed or existing sanitary sewer inverts shown in dashed lines on the profile. Proposed sanitary sewers must be shown on separate plan and profile sheets including proposed top of curb elevations, channel flow lines elevation, drainage crossings, wherever applicable, and storm sewers dashed double line thereon.
- h. Where no drainage improvements are required, show the street and sanitary improvements on the same plan and profile sheets.
- i. Unless directed otherwise by Director, the CONTRACT drawings shall include, but not be limited to, plan and profile sheets with the plan portion at a scale of 1" = 20'-0" horizontally and 1" = 5'-0" vertically. All drawings shall be submitted on standard 22" by 34" sheets, untrimmed, trim line to trim line.
- j. Furnish an opinion of probable construction cost based on the plan and supporting documents of the proposed construction, excluding land costs. The opinion of probable construction cost will be based on the latest unit prices provided by CITY for similar work, and when approved by CITY, include adjustments to reflect the level of complexity of constructing the project.
- k. Plan and coordinate Consultant services for foundation investigations, soil borings, and other tests required for design of the project. Furnish locations and elevations of the borings.
- l. Furnish CITY with fourteen (14) copies of the Preliminary Phase plans and supporting documents including any and all of those mentioned immediately above. Upon review of said plan and supporting documents and after approval of same, CITY may furnish to CONSULTANT, in writing, approval of such plan, opinion of probable construction cost and authority to proceed with the Design Phase of the Project.

B. Design Phase:**The Consultant Shall:**

1. Attend not more than three (3) citizen meetings, and, as deemed necessary by Director in his sole discretion, meet with City officials.
2. Furnish data required by CITY for the development of any applications or supporting documents for State or Federal Government permits, grants, or planning advances, provided that such data shall not extend beyond that actually developed in the performance of other provisions of this CONTRACT.
3. Conduct preliminary investigation of the need for a 404 Permit. As additional service, if necessary, assist CITY by preparing documents as required.
4. Prepare documentation and application forms for the obtaining of highway permits and railroad agreements, and furnish to CITY. Include approved permits or forms and agreements in specifications.
5. Perform additional field surveys, including, but not limited to one-time staking of design center-line control at each intersection and points of inflection, but not right-of-way acquisition surveys. Site topography required to collect information needed in the design of the Project.
6. Prepare detailed contract drawings, specifications, instruction to bidders, general provisions, proposal and other documents necessary for CITY to advertise for bids for construction, all based on guides furnished CONSULTANT by CITY after authorization has been received from Director to proceed with the final plans.
 - a. Street and drainage cross sections shall be included for every fifty foot station (plus any intermediate stations if field conditions so dictate) at a scale of 1" = 10'-0" horizontally and 1"=5'0' vertically unless otherwise directed by Director. These designs shall combine the application of sound engineering principles with a high degree of economy. Design standards of other agencies, when approved by CITY shall be used when so directed by Director.
 - b. Detailed specifications shall be developed using CITY's standard Specifications for Public works Construction, and other necessary special specifications.
7. Provide fifteen (15) sets of final plans to CITY's Engineering Division of the Public Works Department for review and comments. If the plans as submitted by CONSULTANT for final review are deemed by Director to be incomplete, CONSULTANT shall make the corrections as specified and resubmit fifteen (15) sets of revised sheets only for this review. CONSULTANT shall bear the expense of the additional fifteen (15) sets of revised sheets required for this review.

C. Bid Phase:**The Consultant Shall:**

1. A sample copy of standard general provisions, instructions to bidders, and applicable prevailing wage rates will be furnished to CONSULTANT by CITY for incorporation in the specifications for the proposed project.
2. Prepare and provide one complete set of the bid package, including plans, specifications, instructions to bidders, general provisions, proposal, cost estimates, and other documents necessary for CITY to advertise for bids for construction, all based on guides furnished to CONSULTANT by CITY after authorization has been received from Director to proceed with the final plans. The cost estimate shall include Public Works costs, SAWS Water and Sanitary Sewer costs, and CPS costs, if applicable.

3. Furnish not more than twenty (20) sets of bidding documents, including those specified in this paragraph.
 - a. Upon notification by CITY, CONSULTANT shall provide and submit, prior to a bid opening, ten (10) sets of plans and specifications to CITY's Plans & Records Section, Engineering Division, Public Works Department.
 - b. After the bid opening, CONSULTANT shall provide all remaining sets of plans and specifications to CITY's Capital Programs Division office, Public works Department.
4. In consultation with CITY, set a charge for plans and specifications (bid documents) based on the cost of printing, materials and handling, said charge to be assessed all bidders and vendors.

Upon the direction of CITY, issue Plans and Specifications for bidding purposes, receive and record plan depositions, issue and deliver all addenda required to perfect the bid documents, maintain a record of issuance and receipt of same. Attend the Pre-Bid Conference as scheduled by CITY to provide clarification and interpretation to bidders
5. Attend the formal opening of bids by CITY's Clerk and tabulate and furnish to CITY an original and five (5) copies of the bid tabulation together with written recommendation regarding the award of the contract.

D. Construction Phase:

The Consultant Shall:

1. Attend a Pre-Construction conference with the representative of the interested CITY Department and the Contractor.
2. Make periodic visits, no less than twice a month, to the Project site to observe, as an experienced and qualified design professional, the progress and quality of the executed work, and to determine in general, if the work is proceeding in accordance with the plans and specifications. During such visits and on the basis of on-site observations, consult and advise CITY during construction, and submit monthly reports to CITY relating to such visits, indicating progress of construction. CONSULTANT shall not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the work. CONSULTANT shall not be responsible for the means, methods, techniques, sequences or procedures of construction selected by the contractor or the safety precautions and programs incident to the work of the contractor. CONSULTANT shall provide CONSULTANT's best judgment in providing advice to CITY so that the completed project will conform to the plans and specifications. CONSULTANT shall not be responsible for the failure of the contractor to perform the construction work in accordance with plans and specifications and the contractor's contract; however, CONSULTANT shall report to CITY any deficiencies in the work actually detected by CONSULTANT. If more than six visits are required in any month, CONSULTANT may request additional compensation.
3. After Contractor's approval, CONSULTANT shall review and take appropriate action (approve with modifications, reject, etc.) on the Contractor's submittals, such as Shop Drawings, Product Data and samples, but only for conformance with the design concept of the project and compliance with the information given in the Contract Documents. CONSULTANT shall take such with reasonable promptness so as to minimize delay. Such reviews and approvals or other action shall not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions and programs incident thereto. The approval of a specific item shall not indicate approval of an assembly of which the time is a component.
4. Prepare and deliver one (1) set of driveway plats to Project Manager for approval and furnish four (4) approved copies for CITY's Inspector, if requested by Director pursuant to Article 5, section 5.5(B)(15) in the main body of this AGREEMENT.
5. Receive and review certificates of inspections, testing (to include Field, Laboratory, shop and Mill testing of materials), and approvals required by law, rules, regulations, ordinances, codes, orders, or the Contract

Documents to determine generally that the results certified substantially comply with the Contract Documents. CONSULTANT shall recommend to CITY special inspection or testing when deemed necessary to assure that materials, products, assemblages and equipment conform to the design concept and the Contract Documents.

6. Evaluate and determine the acceptability of substitute materials and equipment proposed by the Contractor(s).
7. Review monthly estimates and recommend approval or other appropriate action on estimates to the Contractor.
8. Observe the initial startup of the Project and the necessary performance tests required by the specifications of any machinery or equipment installed in and made a part of the Project. CONSULTANT shall advise CITY if in CONSULTANT's opinion the machinery or equipment is not operating properly and make recommendations for corrections of perceived problems.
9. Perform in company with CITY representative(s) a "conditional approval" and a "final" inspection of the project to observe any apparent defects in the completed construction with regard to conformance with design concept and intent of the Contract Documents. Assist CITY in consultation and discussions with Contractor(s) concerning such deficiencies, and make recommendation as to replacement or correction of the defective work.
10. After completion of the work, and before final payment to the Contractor, CITY shall require a set of "Record Drawings" from the Contractor, who has control of the work and who is in a position to know how the project was constructed. CONSULTANT, after receiving the record drawings, shall transfer the information to a set of "Mylar" tracings as "Record Drawings" or documents for the CITY's permanent file. CONSULTANT shall also provide electronic files of "Record Drawings" to the CITY.
11. CITY shall require the Contractor to submit to CONSULTANT, who shall review and deliver to CITY, manufacturer's warranties or bonds on materials and equipment incorporated in the project of which such warranties or bonds were required by the specifications.
12. Develop, at the request of CITY, any changes, alterations or modifications to the Project, which appear to be advisable and feasible, and in the best interest of CITY. CONSULTANT shall be cognizant that any such change may affect one or more of the various utilities and every effort shall be made to avoid creating a conflict because of the change. Such alterations shall appear on or be attached to CITY's form "Field Alteration Request." A supply of these forms will be furnished to CONSULTANT by CITY for this purpose. At the direction of CITY's Inspector, CONSULTANT shall obtain the Contractor's price of the proposed alteration prior to submitting it to CITY for its approval. No work shall be authorized to be done by the Contractor prior to receipt of CITY's approval of the "Field Alteration Request."

ATTACHMENT B

PRODUCTION SCHEDULE

ATTACHMENT B

PROFESSIONAL SERVICES CONTRACT PRODUCTION SCHEDULE

Project: Alamo Farmsteads Phase I, Whitby from Abe Lincoln to Rochelle

Architect/Engineer Firm: Freese and Nichols, Inc.

SECTION I (Not applicable to total time)

SCHEDULED

PRELIMINARY PHASE A: (Days to be Negotiated)

Beginning Date:	<u>5/3/04</u>
Completion Date:	<u>9/1/04</u>
Calendar Days Used:	<u>120</u> Days
City Review & Approval	<u>21</u> Days

SECTION 2

PRELIMINARY PHASE B:

Beginning Date:	<u>9/22/04</u>
Completion Date:	<u>12/22/04</u>
Calendar Days Used:	<u>90</u> Days
City Review & Approval	<u>21</u> Days

DESIGN PHASE

Beginning Date:	<u>1/14/05</u>
Completion Date:	<u>3/16/05</u>
Calendar Days Used:	<u>60</u> Days
Total Contract Time:	<u>222</u> Days

BID PHASE & DOCUMENTS

Beginning Date:	<u>5/18/05</u>
Completion Date:	<u>6/1/05</u>
Calendar Days Used:	<u>14</u> Days
City Review & Approval	<u>21</u> Days

By: Michael L. Nichols, P.E.

Principal/Sr. Vice President
Official Title

ATTACHMENT C

**CONSULTANT'S FEE PROPOSAL AND SBEDA PARTICIPATION STATEMENT FROM
CONSULTANT'S INTEREST STATEMENT**

Freese and Nichols, Inc.

Engineers

Environmental Scientists

Architects

711 Navarro Street, Suite 215

San Antonio, TX 78205-1739

210 224-4400

210 224-4404 fax

www.freese.com

March 19, 2004

Mr. David E. Matney, P.E.
City of San Antonio Department of Public Works
Capital Projects Management Division
114 W. Commerce, 5th Floor
San Antonio, Texas 78205

RE: Revised Alamo Farmsteads Fee Proposal

Dear David,

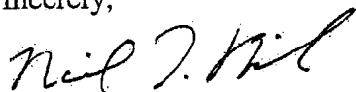
Freese and Nichols, Inc. is pleased to submit this revised proposal for the Alamo Farmsteads Drainage Study Phase I on Whitby from Abe Lincoln to Leon Creek.

This project consists of developing a study and constructing the first phase to improve drainage in the area. The study is to include surveying, analysis of existing conditions, identification of existing drainage problems with proposed solutions and a manageable construction phasing plan for the entire watershed. The first phase of construction shall include the reconstruction of Whitby Road from Abe Lincoln to Rochelle with a drainage outfall to Leon Creek.

It is our understanding that the project will be performed under the City of San Antonio Standard Professional Services Agreement. Please find attached to this letter our scopes of services for basic and additional services (Attachment "A"), our production schedules (Attachment "B") and our engineering fees for these services (Attachment "C").

Thank you for the opportunity to work with you and your staff on this project. If you have any questions or comments, please call me at 512-451-7955 or Kevin Young at 210-224-4400.

Sincerely,



Michael L. Nichols, P.E.
Principal/Senior Vice President
Freese and Nichols, Inc.

ATTACHMENT "A"

SCOPE OF SERVICES

Freese and Nichols, Inc. (FNI) shall provide basic services to design Phase I (Whitby from Abe Lincoln to Leon Creek) as set forth in the City of San Antonio standard Professional Services Agreement. It is understood that paragraph D.2 has been modified and only centerline control staking will be required for this project.

FNI shall render the following additional services in connection with the development of the Project:

- I. TDLR Review and Inspection
FNI will consult with a TDLR Registered Accessibility Specialist to determine the requirements for sidewalks along Whitby Road and will submit plans for TAS review. If possible, sidewalks will not be included in Phase I.
- II. Design Enhancement Sub-consultant Services
N/A
- III. Traffic Control Plan (TCP) Preparation
FNI will provide a TCP for Phase I showing detour routes and typical details. The design shall conform to COSA Traffic Department requirements and MUTCD standards.
- IV. Tree Survey/protection/mitigation/permit
FNI will provide a tree survey for Phase I showing size and type of trees as require in the latest City Tree Ordinance. Tree protection measures and standard City details will be included in the construction plans. The western limits of the tree survey will extend approximately 100 ft beyond the developed part of the City-owned lot on Rochelle. A maximum of one tree layout sheet will be produced by the consultant.
- V. Performance of Test Borings/Foundation Studies
FNI will provide a geotechnical study and report for Phase I as described in the "Scope of Services for Geotechnical Engineering" in Attachment "A".
- VI. Furnishing of Record Drawings
FNI will provide services regarding record drawings for Phase I as described in item D.11 of the Base Fee Construction Phase scope (Attachment "A"). An electronic copy will be provided in CADD (Microstation) format.

VII. Storm Water Pollution Prevention Plan (SW3P)

FNI will provide only general information necessary in the plans and specifications for the Contractor to develop the SW3P. FNI will not be responsible for the actual SW3P. No plan sheets will be added to the construction documents.

VIII. USACE 404 Permit

FNI will assess the need for a 404 permit. This price includes travel time for an onsite analysis and an environmental review of the plans and specifications.

IX. Railroad Permit

N/A

X. TxDOT Permit

N/A

XI. Master Drainage and Phasing Study of Alamo Farmstead

A. SCOPE OF STUDY

1. Project Management: FNI will provide Project Management services including project coordination, communications with the City, and regular status reporting.

2. Data Collection:

- a. Collect available and pertinent information regarding the project, the site, and previous engineering reports.
- b. Collect effective and/or current computer models, land use assumptions, and surveying/topographic data.
- c. Make one (1) site visit.

3. Survey:

Fly and produce new black and white aerial photography covering the area between Rochelle and Abe Lincoln and Eckert Road and Country Field. Develop 1-foot contours for use in the Master Planning and schematic design for the proposed drainage improvements. We will tie into FEMA datum.

4. Hydrologic Analysis:

- a. Delineate Drainage Area Boundaries: Use the 2004 aerial topography and portions of the City of San Antonio's existing

aerial and/or USGS 7.5 Minute Quadrangle Maps to verify/redefine the watershed boundaries. Identify offsite drainage areas that contribute to stormwater runoff in the project area.

- b. Verify Land Use Assumptions: Using the City's existing and projected land use assumptions, FNI will verify that the hydrologic models assume ultimate development conditions as required in the 2001 Unified Development Code.
- c. Develop HEC-HMS model for the project area. Assume no effect on Leon Creek peak flows due to minor re-routing of the street drainage and due to proximity to the Creek).
- d. Develop 2, 5, 10, and 25 year peak flows.

5. Hydraulic Analysis:

Evaluate pre-project flows and develop alternate methods for improving local drainage.

- a. Pre-Project: Evaluate existing 2-, 5-, 10-, and 25-year runoff events (peak flow, depth of flow, velocity, etc.)
 - b. Develop Alternatives for improving local drainage:
 - (1) Up to three alternates for each street (e.g. bar ditch and storm sewer sizing/configuration).
 - (2) Three to five alternates for combining the street drainage improvements and providing conveyance and outfall to Leon Creek.
 - c. FNI will utilize HEC-RAS, Storm Cad, and Flow Master for performing hydraulic calculations as appropriate.
 - d. Develop schematic drawings and/or maps to identify key components of the alternate design solutions.
6. Preliminary Opinion of Probable Construction Cost: Based on the schematic design and drawings, prepare a preliminary opinion of probable construction costs for each alternative. The City will provide FNI with approximate real estate costs including, but not limited to, property values and easement costs if necessary.
7. Preliminary Implementation Schedule: Develop a preliminary project implementation schedule. FNI, working with City staff, will outline the

remaining phases and major tasks necessary to complete the project including field investigations, final design, and construction. The schedule will be prepared in Microsoft Windows Office Project format.

8. Master Drainage Plan: Prepare a preliminary engineering design report to present results of the watershed boundary determination, updated hydrologic and hydraulic analyses, local street drainage and outfall analysis, permitting requirements, opinion of probable construction costs, and the implementation schedule. FNI will submit an "Issued for Review Report" (3 copies) and will incorporate City comments into a "Final Report" (5 copies).
9. Meetings: Participate in up to six project meetings with the City staff to discuss progress and/or technical matters related to the project. Anticipated meetings are:
 - a. Kickoff Meeting.
 - b. Progress Meeting 1 – upon completion of Task 4a.
 - c. Progress Meeting 2 – upon completion of Task 4d.
 - d. Progress Meeting 3 – upon completion of Task 5.
 - e. Progress Meeting 4 – upon completion of the City review of the Draft Report.
 - f. Council Meeting/Workshop

B. DELIVERABLES

1. Survey data – copy of the topographic files and any related field notes.
2. Issued for Review Report.
 - a. The Draft Report will present information regarding the updated hydrologic and hydraulic analyses, preliminary street drainage and outfall analysis, schematic design of improvements, permitting requirements, preliminary opinion of probable construction costs, and the preliminary implementation schedule.
 - b. Three (3) bound copies of the Draft Report will be issued.
3. Final Report. The final report will incorporate comments received from the City's review of the Draft Report.

- a. Five (5) bound copies of the final report will be issued.
 - b. One (1) complete electronic version of the report, including figures, maps, hydrologic and hydraulic files and AutoCAD files will be issued on CD-ROM.
4. One (1) electronic copy of the revised hydrologic and hydraulic models and the street drainage and outfall analysis will also be included on the CD-ROM.

C. TIME OF COMPLETION

FNI will commence our service on the Project upon execution of the AGREEMENT and receipt of written notice to proceed. FNI agrees to complete the services in accordance with the attached schedule for Phase I - Whitby Road. A draft report will be submitted with the Phase A Report for Whitby Road. The final report will be submitted with the Phase B Report for Whitby Road.

SCOPE OF SERVICES
GEOTECHNICAL ENGINEERING SERVICES: PHASE I - WHITBY ROAD
FROM ABE LINCOLN TO ROCHELLE

1. Perform 4 soil borings along the Whitby Road project alignment. Soil samples will be obtained and groundwater observations will be made and recorded during the drilling operations.
2. The subsurface soils will be characterized in accordance with their physical and engineering characteristics by means of Atterberg Limits, moisture content, density, strength tests, PVR and grain size analysis, as appropriate.
3. The site and general soil and geologic conditions encountered will be described and assessed.
4. Soil classification for OSHA trenching and shoring recommendations will be provided.
5. Pavement subgrade preparation recommendations will be included as well as appropriate pavement thickness based on city of San Antonio standards. CBR testing will be performed, and the final pavement design will be provided.
6. The results of the scope of work will be submitted in a formalized report prepared by a registered professional engineer in the state of Texas.

ATTACHMENT C

CONSULTANT FEE PROPOSAL SUMMARY

PROJECT: Alamo Farmstead Drainage Phase I - Whitby from Abe Lincoln to Leon Creek

DATE: 3/17/04

Please provide the fee amounts that you are requesting compensation for in the blanks as shown below. The line items that are eligible for compensation will be "checked" in the corresponding box adjacent to the description.

Amount

A. ☒ BASE FEE (Phase I, as per Professional Services Agreement)..... \$ 100,165*
*9.90% of \$1,012,000 estimated construction cost.

Amount

B. ADDITIONAL SERVICES FEES (Phase I):

<input checked="" type="checkbox"/> 1. TDLR review and inspection	= \$ <u>400</u>
<input type="checkbox"/> 2. Design Enhancement sub-consultant services	= \$ <u> </u>
<input checked="" type="checkbox"/> 3. Traffic control plan (TCP) preparation	= \$ <u>2,520</u>
<input checked="" type="checkbox"/> 4. Tree survey/protection/mitigation/permit	= \$ <u>1,725</u>
<input checked="" type="checkbox"/> 5. Performance of test borings/foundation studies	= \$ <u>5,750</u>
<input checked="" type="checkbox"/> 6. Furnishing of Record Drawings	= \$ <u>1,700</u>
<input checked="" type="checkbox"/> 7. SW3P	= \$ <u>360</u>
<input checked="" type="checkbox"/> 8. USACE 404 Permit (permit assessment, only)	= \$ <u>1,000</u>
<input type="checkbox"/> 9. Railroad Permit	= \$ <u> </u>
<input type="checkbox"/> 10. TxDOT Permit	= \$ <u> </u>
<input checked="" type="checkbox"/> 11. Master Drainage study of Alamo Farmstead	= \$ <u>168,890</u>
Subtotal	= \$ <u>182,345</u>

Additional Services Fee Subtotal..... = \$ 182,345

TOTAL PROFESSIONAL SERVICES FEE..... = \$ 282,510

C. For the purpose of establishing a unit rate fee for certain design efforts that are understood to be additional services, the following unit rates are requested for the Phase I project:

<input checked="" type="checkbox"/> 1. Preparation of driveway plats	= \$ <u>75</u> /ea.
<input checked="" type="checkbox"/> 2. Preparation of plats and field notes for property Acquisition or easement procurement	= \$ <u>475</u> /parcel

COSA Alamo Farmsleads - Whitby Road Design
 Fee Calculation
 3/19/2004

	MLN	KCY	JWS, MRE, JMN	NO	OO, BML	
	Principal	Project Manager	Project Engineer	CADD Technician	Administrative	
Labor Expense Phase I - Whitby Road						\$67,830
Phase A Report (30%)	5	66	12	72	52	\$78,604
Scoping meeting	1	4	4			\$1,088
ROW Investigation		4	4	4		\$1,256
Utility coordination		8		8		\$1,592
Check field surveys		4		8		\$1,158
Drainage study for Whitby Road		8		16	8	\$2,712
Schematic Drawings		16		24	16	\$4,704
Report preparation including preliminary cost		16		12	16	\$3,824
In-house QA/QC review	4	4	4		4	\$1,856
Submit 14 copies of report to City for review		2			8	\$618
Phase B Report (30%)	8	80	4	56	32	\$17,340
Prepare preliminary plan and profiles		16		40	16	\$8,144
Prepare hydrologic and hydraulic design report		24		16	8	\$4,458
Cost estimate		8				\$872
Coordinate geotechnical investigation		16				\$1,744
Pavement design		4				\$436
In-house QA/QC review	8	8	4		4	\$3,052
Submit 14 copies of report to City for review		4			4	\$836
Design Phase (20%)	10	50	4	48	32	\$13,730
Citizens meetings (3)	6	8			8	\$2,194
Permit preparation and investigation		4			8	\$836
Coordinate additional field surveys		4				\$436
Prepare details drawings and specifications		16		24	8	\$4,304
Prepare cross sections		8		24		\$3,032
In-house QA/QC review	4	8	4		4	\$2,292
Submit 15 sets of plans and specs for City Review		4			4	\$636
Bid Phase (5%)						\$5,918
Provide bid package for advertise and one-day review		4		8	8	\$1,558
Furnish 20 sets of bid package for bidding		4			16	\$1,236
Addenda preparation		8		4	16	\$2,032
Attend Pre-bid conference		2			1	\$268
Attend Bid opening & prepare bid tabulation and rec.	1	4			4	\$826
Construction Phase (15%)						\$12,238
Attend pre-construction conference	1	2	2		4	\$838
Coordinate centerline staking			2			\$230
Periodic site visits			40			\$4,600
Shop drawing and submittal review			18		8	\$2,240
Prepare and deliver driveway plats						\$0
Review certificates of testing and inspections			8			\$920
Evaluate acceptability of substitute materials			8			\$920
Review monthly estimates			8			\$920
Observe initial start up			2			\$230
Final inspection and assist with correction of defective work	1		4			\$850
Record drawings (see below)						\$0
Review manufacturers warranties or bonds			2			\$230
Develop and coordinate field changes			4			\$480
Non-Labor Expenses - Phase I (Subconsultants)						\$44,430
Surveying Services (Fernandez Frazier & White)						\$28,060
-Tree survey (FFW)						\$1,725
-Field data, base plan preparation (FFW)						\$23,000
-Staking (FFW)						\$3,335
Geotechnical Investigation (Arias & Associates)						\$5,750
Record Drawings (CNA)						\$1,700
Traffic control plan & GPS (Bain Medina Bain)						\$2,520
Printing, plotting, travel, delivery, mail, general expenses.						\$6,000
TDLR Review (ADA Consultants)						\$400
Drainage Study and Other Services						\$170,247
H&H Study						\$149,209
Expenses						\$19,680
SWPPP		2		1	1	\$358
404 permit assessment						\$1,000
Total Fee						\$282,507
Additional Services						
Intersection corner clips		\$460 per parcel				
Drive Plats		\$75 per plat				

*Indicates additional service to Phase I Fee.

Summary

	Fees	Construction
Base	\$100,165	\$1,012,000 9.90%
Additional	\$182,342	
	\$282,507	

City of San Antonio
Alamo Farmsteads Drainage and Phasing Study
Mar-03

FNI DIRECT LABOR AND EXPENSES:		JHK Principal		KCY Project Man./Eng.		JWS Eng V		MRE ENG IV		KBK ENG II		NO CADD Technician		BML Word Processing		Total LABOR Fee
Task No.	Description	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	Hours	Cost	
1	Project Management	4	792.00	120	13,068.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	13,860.00
2	Data Collection	0	0.00	8	871.20	8	976.80	16	1,742.40	40	3,300.00	4	369.60	0	0.00	7,260.00
3	Survey	0	0.00	8	871.20	4	488.40	4	435.60	10	830.00	8	739.20	0	0.00	2,854.40
4	Hydrologic Analysis	0	0.00	16	1,742.40	24	2,330.40	40	4,356.00	80	6,600.00	4	369.60	0	0.00	15,998.40
5	Hydraulic Analysis	0	0.00	16	1,742.40	80	9,768.00	80	8,712.00	180	14,850.00	40	3,696.00	0	0.00	38,768.40
6	Cost Estimate/Phasing	0	0.00	24	2,613.60	40	4,864.00	48	5,227.20	60	4,950.00	16	1,478.40	0	0.00	19,153.20
7	Report	0	0.00	8	871.20	60	7,326.00	80	8,712.00	80	6,600.00	40	3,696.00	40	2,508.00	29,713.20
8	Meetings	4	792.00	16	1,980.20	48	5,860.80	48	5,227.20	0	0.00	0	0.00	12	752.40	14,592.60
	a Kickoff	0	0.00	3	326.70	8	976.80	8	871.20	0	0.00	0	0.00	2	125.40	
	b Drainage Areas	0	0.00	3	326.70	8	976.80	8	871.20	0	0.00	0	0.00	2	125.40	
	c Progress Meeting 1	0	0.00	3	326.70	8	976.80	8	871.20	0	0.00	0	0.00	2	125.40	
	d Progress Meeting 2	0	0.00	3	326.70	8	976.80	8	871.20	0	0.00	0	0.00	2	125.40	
	e Review Draft	0	0.00	3	326.70	8	976.80	8	871.20	0	0.00	0	0.00	2	125.40	
	f Council Workshop	4	792.00	3	326.70	8	976.80	8	871.20	0	0.00	0	0.00	2	125.40	
14	QA/QC	8	1,584.00	16	1,742.40	16	1,953.60	12	871.20	8	660.00	0	0.00	3	186.10	6,999.30
FNI TOTAL LABOR AND EXPENSES		16	\$3,168.00	234	\$25,482.60	280	\$34,188.00	324	\$35,283.60	452	\$37,290.00	112	\$10,348.80	55	\$3,448.50	\$149,209.50

Expense Budget Worksheet
Expense Budget Summary

BST	Expense Description	Total Raw	Expense	Expense
0AA4	General Expense	434.78	1.15	500.00
	Subconsultants			
xxxx	Stewart	10,715.00	1.15	12,322.25
xxxx	Bain Medina Bain	4,000.00	1.15	4,600.00
xxxx		-	-	-
xxxx		-	-	-
0AA4	Travel and Lodging	416.25	1.15	478.69
0AA4	Plotting Cost	250.00	1.15	287.50
0AA4	Blue Line Cost	72.07	1.15	82.88
0AA4	Document Reproduction	1,225.00	1.15	1,408.75
0AA4	Document Delivery	-	-	-
0AA4	Special Equipment	-	-	-
	Total Expenses	\$ 17,113.11	1.15	\$ 19,680.07

General Expenses

BST	Expense Description	Total Raw	Expense	Expense
0AA4	General Expense	434.78	1.15	\$ 500.00

(miscellaneous expenses not directly covered by categories below)

Sub Consultant Expenses

BST	Sub Consultants	Service Provided	Cost	Multiplier	Effort	DBE Firm	% Total Fee
xxxx	Stewart	Aerial	10,715	1.15	12,322	No	
xxxx	Bain Medina Bain	Supplemental Survey	4,000	1.15	4,600	Yes	2.7%
xxxx				1.15	-		
xxxx				1.15	-		
	Totals		14,715		16,922		2.7%

Travel and Lodging Expense

BST Task	Expense Description	\$ / Trip	Mileage Per Trip	Trips	Total Raw Expense	Expense Multiplier	Expense Effort
	Air Fare				-	1.15	-
	Lodging				-	1.15	-
0AA4	Ground Transportation				-	1.15	-
	Mileage		185	6	416	1.15	479
	Meals				-	1.15	-
	Totals				\$ 416.25		\$ 478.69

Plotting Cost

BST Task	Discipline / Submittal Stage	Sheets / Set	Plot Type	Cost / Plot	# Plot Sets	Total Raw Expense	Expense Multiplier	Expense Effort
	Draft Report	50	Bond	2.25	1	112.50	1.15	129.38
	Final Report	50	Bond	2.25	1	112.50	1.15	129.38
	Maps	5	Color	5.00	1	25.00	1.15	28.75
				-		-	1.15	-
				-		-	1.15	-
				-		-	1.15	-
	Total Plotting Expense					\$ 250.00		\$ 287.50

Blue Line Cost

BST Task	Submittal Stage	Sheets / Set	Sheet Size	Cost / Set	# Sets	Total Raw Expense	Expense Multiplier	Expense Effort
	Draft Work Maps	4	22" X 34"	4.27	7	29.87	1.15	34.36
	Final Work Maps	4	22" X 34"	4.27	7	29.87	1.15	34.36
0AA4	CIP Maps	1	24" X 36"	1.23	10	12.32	1.15	14.17
				-		-	1.15	-
				-		-	1.15	-
				-		-	1.15	-
				-		-	1.15	-
				-		-	1.15	-
	Totals					\$ 72.07		\$ 82.88

Document Reproduction

BST Task	Submittal Stage	Pages / Copy	Books/ Copy	Binding Type	Cost/ Set	# Sets	Total Raw Expense	Expense Multiplier	Expense Effort
0AA4	REVIEW SETS								
	Draft Report	350	5	GBC - Regular Cover	49.00	10	490.00	1.15	563.50
	Final Report	350	5	GBC - Regular Cover	49.00	15	735.00	1.15	845.25
Totals							\$ 1,225.00		\$ 1,408.75

Document Delivery Cost

BST	Submittal Stage	Delivery	Cost Per	Number of	Total Raw	Expense	Expense Effort
0AA4	Bid Sets to Bidders				-	1.15	-
	Bid Sets to Plan Rooms				-	1.15	-
	Bid Sets to Owner				-	1.15	-
	Bid Sets to Subconsultants				-	1.15	-
	Conformed Documents				-	1.15	-
	Correspondence				-	1.15	-
	Shop Drawings to Owner				-	1.15	-
	Shop Drawings to Contractor				-	1.15	-
	Shop Drawings to Field Office				-	1.15	-
	Record Drawing Review Sets				-	1.15	-
	Final Record Drawings				-	1.15	-
Totals					-	-	-

Special Equipment Cost

BST	Equipment Description	Cost Per	Number of	Lump Sum	Total Raw	Expense	Expense
0AA4					-	1.15	-
					-	1.15	-
					-	1.15	-
					-	1.15	-
					-	1.15	-
					-	1.15	-
Totals					-	-	-



PROJECT MEETING MINUTES

Project: Alamo Farmsteads

Owner: City of San Antonio Public Works Department

Subject: Project Scoping Meeting

Date/Time: February 25, 2004 9:00 AM

Attendees:

City of San Antonio (COSA): Dave Matney, Dale Keller, John Donnelly, Art Villarreal, Lyndon Duano, Manuel Vidal, Edward Mery, Robert Espinosa, Mark Bird, Hugh Guillen

City Public Service (CPS): John Offer

San Antonio Water System (SAWS): Joe Carreno

Freese and Nichols, Inc. (FNI): Jay Scanlon, Michelle Evans, Kevin Young

Discussion Items:

1. SAWS wishes to replace a 6-inch water main on Whitby with an 8-inch. There is no sewer along Whitby. The water replacement will be joint bid. Water and sewer maps were provided by Mr. Carreno.
2. Mr. Villarreal reiterated the need in our study for drainage boundary refinement, particularly to the east across Abe Lincoln and north across Pembroke.
3. There is a pending in-house project that will involve installation of a pump at the low point on Whitby. This should not affect our project. Mr. Matney stated that the timing of our project may eliminate the need to spend the extra money on the pump project. The City is currently waiting on owner approval (granting drainage easement) before City forces begin work.
4. A floodplain development permit will be required on this project, per Mr. Villarreal. FNI will not be responsible for the preparation of this document, but will need to keep a copy on file and will include the permit as part of the bid and construction documents.
5. Re-verification of drainage areas will require a meeting with Storm Water Engineering.
6. Storm Water concurs with Capital Programs project scope phasing of the "drainage area study" proposed with the Alamo Farmstead area as discussed in the field meeting on Tuesday, February 24. (The Alamo Farmstead area is loosely bounded by Abe Lincoln, Whitby, Rochelle, and Pembroke).
7. The proposed Whitby storm sewer system will outfall into a proposed channel on the other side of the City property on Rochelle. FNI will only verify the need for a 404 permit. The need for permit is not anticipated by the City, but verification is recommended.
8. FNI will use the 2001 Unified Development Code for the design of this project.
9. Referring to the "Scoping Meeting" handout, COSA stressed the need for meeting minutes within 5 working days and a design fee proposal and design production schedule within 10 working days. This fee proposal will go to Council for approval on April 11th, and negotiations will need to be finalized by the third week of March.
10. The "Consultant Fee Proposal Summary" form will need to be turned in with the fee proposal and schedule.
11. Project design is to be completed by April 2005, and advertisement is scheduled for October 2005.
12. The citizens want to keep the "country" neighborhood atmosphere and want no sidewalks or curbs. FNI will verify the requirements for sidewalks.
13. The proposed roadway and drainage improvements for Whitby will generally include a wider 26-foot pavement width with roadside ditches and underground storm drainage necessary to drain the area west to Leon Creek.
14. Design Services to be considered:

PROJECT MEETING MINUTES

- Traffic Control Plan – Mr. Mery would like to keep at least one lane open, if possible. The TCP will show detour routes and typical details. Mr. Mery said that he would provide these via email. Rochelle to the south is a dead end. May need shoo-fly at Rochelle intersection along with flaggers.
 - Look at keeping the waterline on the south side of Whitby and adding storm drainage on the north side.
 - FNI will verify the need for TDLR review.
 - Mr. Bird said that tree protection measures will be required in the plans. Include a tree survey within the Whitby ROW and project limits with species and size information. FNI will abide by the 2003 tree ordinance.
 - As for the large oak tree at the Whitby/Rochelle intersection, Mr. Bird requested an eventual site visit. A bore might be required to get underground storm drain outfall past the tree.
 - Mr. Espinosa discussed environmental issues. There is a landfill west of Rochelle, but he does not anticipate any conflicts with our project. If our storm drainage outfall affects this area, FNI will need to notify COSA as to exactly where this will occur.
 - SWPPP measures will be required, but the requirements have changed. COSA requests that the consultant provide general guidelines, and the contractor be responsible for the plans and approvals of measures proposed. FNI is to provide the acreage of disturbed area, creek name(s), and other pertinent information helpful to the contractor in preparation of the SWPPP plans and specifications.
 - Options for storm sewer routings and outfall locations will be included in the drainage study. There may be several outfalls to Leon Creek in several different project phases.
 - Geotechnical borings will be required for Phase I. These might be fairly deep (15 to 30 feet deep).
 - For the one-day review period, COSA will need three (3) copies of the plans and specs. Include this in our scope.
 - Include the joint bid SAWS plans in the bid set.
 - Mr. Donnelly will be the City contact for public involvement.
 - FNI will be requested to assist with the one-year warranty by attending the inspection and providing guidance and input, where needed.
 - Record drawings will be required on Mylar and in Microstation electronic format.
 - Include a production schedule with the scope and fee.
 - Include schematic design in the Phase A Report. This will show what we are building and what the ROW requirements are so we can begin work on property issues earlier in the project. The Phase A schematics and more detail will be required for Whitby Road. The rest of the area will be conceptual only.
 - It is currently planned that we stay out of the Abe Lincoln intersection with our Whitby improvements.
 - A copy of the COSA professional services agreement will be provided by Mr. Keller.
15. Electric and gas maps were provided by Mr. Offer. There are single phase OH lines along Whitby. There is gas at the Whitby/Abe Lincoln intersection.
 16. Most poles on the south side belong to SBC. No replacement of these poles is anticipated at this time, per Mr. Offer. The remaining poles belong to CPS.
 17. Time Warner Cable (TWC, not in attendance) has facilities on the CPS poles on the north side. Plans call for widening of Whitby, so any necessary utility relocation will be funded by the franchise utility.
 18. A copy of an email from Joel Gonzales with TWC to Mr. Guillen was provided. It states that



PROJECT MEETING MINUTES

TWC has facilities within the project limits and adjustments will take 30-60 days to make.

19. A copy of an email from Louis Jimenez with Southwestern Bell (SBC, not in attendance) was provided. It states that SBC has underground cable along Whitby Road.
20. FNI will include additional services like unit prices for driveway plats, 404 permit and ROW acquisition documentation in the fee proposal.
21. Whitby is not on the VIA bus route.
22. Information from a previous Poznecki-Camarillo (PC) study of the project area was provided.
23. FNI will use today's dollars in estimating costs for future project phases.
24. There is no existing H&H study. All that is available is the Abe Lincoln design and PC information provided today.
25. Standard City details can be provided by Miguel Barrera or Victor Vasquez.

Please review these meeting minutes and notify Kevin Young of any suggested revisions as soon as possible. Corrections to these minutes will be noted in the minutes of the next project meeting.

XC: Attendees
FNI - JWS, MRE
FNI - SA File OFF04050

In questions 10-15 please refer to the definitions on the attached definition sheet in order to answer the questions properly.

10. ARE YOU A SMALL BUSINESS ENTERPRISE (SBE)? (If yes, indicate below)

Freese and Nichols, Inc.	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO
Bain Medina Bain, Inc.	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
Curtis Neal and Associates	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
Fernandez Frazer White & Associates	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
River City Reprographics	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO

11. DO YOU OR YOUR TEAM QUALIFY AS A MINORITY BUSINESS ENTERPRISE? (If yes, indicate below)

Freese and Nichols, Inc.	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
Bain Medina Bain, Inc.	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
Curtis Neal and Associates	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	1741829051000 Black
Fernandez Frazer White & Associates	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	99-11-0017 Hispanic
River City Reprographics	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	201-03-1499 Hispanic

12. ARE YOU CERTIFIED BY THE SOUTH CENTRAL TEXAS REGIONAL CERTIFICATION AGENCY (SCTRCA) AS A WOMEN-OWNED BUSINESS ENTERPRISE? (WBE)

Freese and Nichols, Inc.	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
Bain Medina Bain, Inc.	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	201-01-1320
Curtis Neal and Associates	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
Fernandez Frazer White & Associates	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
River City Reprographics	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	201-03-1499

13. ARE YOU CERTIFIED BY THE SCTRCA AS A DISADVANTAGED BUSINESS ENTERPRISE? (DBE)

Freese and Nichols, Inc.	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
Bain Medina Bain, Inc.	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	201-01-1320
Curtis Neal and Associates	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	200-11-1561
Fernandez Frazer White & Associates	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	99110017
River City Reprographics	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	201-03-1499

*NOTE: River City Reprographics is also in process of securing HUB certification from Texas Building & Procurement Commission. See attached letter.

(ATTACHMENT 3)

GOOD FAITH EFFORT PLAN

NAME OF COMPANY: Freese and Nichols, Inc.,

PROJECT NAME: Alamo Farmsteads Drainage Phase I on Whitby
from Abe Lincoln to Leon Creek

1. Indicate all MBE-WBE-AABE-SBE subcontractors proposed for this contract.
(Use additional sheets as needed.)

NAME OF SUBCONTRACTOR	*CONTRACT AMOUNT	% LEVEL OF PARTICIPATION	MBE-WBE-AABE CERTIFICATION NUMBER	SBE (Y/N)
Bain Medina Bain	\$9,100.00	10%	201-01-1320	Y
Curtis Neal and Associates	\$7,280.00	8%	200-11-1561	Y
Fernandez Frazer White	\$18,200.00	20%	99-11-0017	Y
River City Reprographics	\$2,730.00	3%	201-03-1499	Y

* ALL FEES ARE APPROXIMATE, BASED ON ESTIMATED CONSTRUCTION COSTS PROVIDED IN RFQ.

NOTE: IF MBE-WBE-AABE-SBE CONTRACTING GOALS WERE MET, SKIP TO #9.

2. If MBE-WBE-AABE-SBE contracting goals were not achieved in a percentage that equals or exceeds the City's goals, please give explanation.

3. List all MBE-WBE-AABE-SBE Listings or Directories utilized to solicit participation.

4. List all contractor associations and other associations solicited for MBE-WBE-AABE- SBE referrals.

5. Discuss all efforts aimed at utilizing MBE-WBE-AABE-SBEs.

6. Indicate advertisement mediums used for soliciting bids from MBE-WBE-AABE-SBEs.

7. List all MBE-WBE-AABE-SBE bids received but rejected. (Use additional sheets as needed).

COMPANY NAME	MBE-WBE-AABE CERTIFICATION NUMBER	HUE (Y/N)	REASON FOR REJECTION

8. Please attach a copy of your company's MBE-WBE-AABE-SBE policy.

9. Name and phone number of person appointed to coordinate and administer the Good Faith Efforts of your company on this project.

Michael L. Nichols, P.E.
p (512) 451-7955

10. This Good Faith Effort Plan is subject to the Economic Development Department's approval.

Michael T. Nihil

SIGNATURE OF AUTHORIZED OFFICIAL

Senior Vice President

TITLE OF OFFICIAL

January 9, 2004 (512) 451-7955

DATE

PHONE

FOR CITY USE

Plan Reviewed By: _____

Recommendation: Approval _____ Denial _____

Action Taken: Approval _____ Denial _____

DIRECTOR OF ECONOMIC DEVELOPMENT

City of San Antonio
Discretionary Contracts Disclosure*

For use of this form, see City of San Antonio Ethics Code, Part D, Sections 1&2

Attach additional sheets if space provided is not sufficient.

State "Not Applicable" for questions that do not apply.

* This form is required to be supplemented in the event there is any change in the information under (1), (2), or (3) below, before the discretionary contract is the subject of council action, and no later than five (5) business days after any change about which information is required to be filed.

Disclosure of Parties, Owners, and Closely Related Persons

For the purpose of assisting the City in the enforcement of provisions contained in the City Charter and the Code of Ethics, an individual or business entity seeking a discretionary contract from the City is required to disclose in connection with a proposal for a discretionary contract:

(1) the identity of any **individual** who would be a party to the discretionary contract:

Michael L. Nichols, P.E.

(2) the identity of any **business entity**¹ that would be a party to the discretionary contract:

Freese and Nichols, Inc.

and the name of:

(A) any individual or business entity that would be a **subcontractor** on the discretionary contract;

Bain Medina Bain, Inc.
Curtis Neal and Associates
Fernandez Frazer White & Associates, Inc.
River City Reprographics

and the name of:

(B) any individual or business entity that is known to be a **partner**, or a **parent** or **subsidiary** business entity, of any individual or business entity who would be a party to the discretionary contract;

N/A

(3) the identity of any **lobbyist** or **public relations firm** employed for purposes relating to the discretionary contract being sought by any individual or business entity who would be a party to the discretionary contract.

¹ A business entity means a sole proprietorship, partnership, firm, corporation, holding company, joint-stock company, receivership, trust, unincorporated association, or any other entity recognized by law.

City of San Antonio
Discretionary Contracts Disclosure*

*For use of this form, see City of San Antonio Ethics Code, Part D, Sections 1&2
Attach additional sheets if space provided is not sufficient.
State "Not Applicable" for questions that do not apply.*

** This form is required to be supplemented in the event there is any change in the information under (1), (2), or (3) below, before the discretionary contract is the subject of council action, and no later than five (5) business days after any change about which information is required to be filed.*

N/A

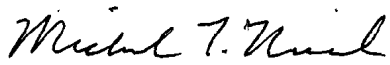
Political Contributions

Any individual or business entity seeking a discretionary contract from the city must disclose in connection with a proposal for a discretionary contract all political contributions totaling one hundred dollars (\$100) or more within the past twenty-four (24) months made directly or indirectly to any *current or former member* of City Council, any *candidate* for City Council, or to any *political action committee* that contributes to City Council elections, by any individual or business entity whose identity must be disclosed under (1), (2) or (3) above. Indirect contributions by an individual include, but are not limited to, contributions made by the individual's spouse, whether statutory or common-law. Indirect contributions by an entity include, but are not limited to, contributions made through the officers, owners, attorneys, or registered lobbyists of the entity.

To Whom Made:	Amount:	Date of Contribution:
N/A		

Disclosures in Proposals

Any individual or business entity seeking a discretionary contract with the city shall disclose any known facts which, reasonably understood, raise a question² as to whether any city official or employee would violate Section 1 of Part B, Improper Economic Benefit, by participating in official action relating to the discretionary contract.

Michael L. Nichols, P.E.		
Signature: 	Title: Senior Vice President Company: Freese and Nichols, Inc.	Date: January 9, 2004

² For purposes of this rule, facts are "reasonably understood" to "raise a question" about the appropriateness of official action if a disinterested person would conclude that the facts, if true, require recusal or require careful consideration of whether or not recusal is required.